

**FOI Act 2000
Processing Requests Form**

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2	Date received at NMC	Ref. No.	Information Requested	Do exemptions apply? Yes/No	If YES state exemption	
3					Absolute Exemption	Qualified Exemption
4	07/01/2009	FOI/2009/01	Request for the following information, broken down by local authority, since 2006: 1) Data relating to the number of complaints made against nurses and midwives. 2) Of this figure, the number of cases where: i) the complaint has been dropped ii) the nurse/midwife has been cautioned iii) the nurse/midwife has been suspended iv) the nurse/midwife has been struck off the register. The information was requested to be provided either by calendar year or financial year dependent on how the figures were collated, but preferably by whichever method provides the most up to date figures.	No		
5	19/11/2008	FOI/2009/02	Request for a copy of the Investigating Committee minutes for the Fitness to Practise case against registrant A.	Yes		Section 40 - (qualified) - Personal information
6	03/12/2008	FOI/2009/03	Request for supporting correspondence relating to a Fitness to Practise case against registrant B.	Yes		Section 40 - (qualified) - Personal information
7	04/12/2008	FOI/2009/04	Request for confirmation of when registrant C's registration lapsed.	Yes		Section 40 - (qualified) - Personal information
8	05/12/2008	FOI/2009/05	Request for a copy of letter that the requester had previously sent to the NMC on 3 June 2008.	No		
9	24/12/2008	FOI/2009/06	Request for copies of all correspondence, relating to a Fitness to Practise investigation, between: (1) The NMC and the complainants (including their solicitors and other representatives); (2) The NMC and CSCJ; (3) The NMC and a County Council Social Services Dept and; (4) The NMC and a County Council Social Services Dept.	Yes		
10	22/12/2008	FOI/2009/07	Request by police officer regarding sharing information in order to identify a complainant (i.e. not a registrant) as part of a police investigation .	Yes		Section 40 - (qualified) - Personal information
11		FOI/2009/08	FOI reference number not used			
12	06/01/2009	FOI/2009/09	Request, by a complainant, to know which information/documents, of those that were originally submitted by the complainant in support of a particular Fitness to Practise case, the NMC still retains.			

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2	Summary of response			
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4	<p>The NMC doesn't hold Fitness to Practise case data broken down by local authority and was unable to provide a response in this form. However a response was provided which included:</p> <ul style="list-style-type: none"> i) the overall number of complaints brought for each of the years 2005-2008, broken down by complainant category ii) the percentage of the total number of complaints for each year brought against each of the registrant qualification categories and (iii) the number of cases closed for each year, broken down by decision type. 			
5	<p>The information was not provided because it was considered exempt. This decision was made in accordance with the Information Commissioner's Office decision rulings FS50180310 and FS50169734 . In these the ICO found that information about whether or not a complaint had been made about a nurse or midwife, and any information which may or may not have been obtained during the course of any such investigation, was exempt under Section 40(5)(b)(i) of the FoIA because it constituted the personal data of that person and to disclose it would breach the data protection principles.</p> <p>For general information: cases that are closed by the Investigating committee are held in private</p>			
6	<p>The information was not provided because it was considered exempt. This decision was made in accordance with the Information Commissioner's Office decision rulings FS50180310 and FS50169734 . In these the ICO found that information about whether or not a complaint had been made about a nurse or midwife, and any information which may or may not have been obtained during the course of any such investigation, was exempt under Section 40(5)(b)(i) of the FoIA because it constituted the personal data of that person and to disclose it would breach the data protection principles.</p>			
7	<p>A response was provided stating that the information requested was exempt under the provisions of the FOIA section 40(2), Personal Information, because the information requested constitutes the personal data of a third party.</p>			
8	<p>A copy of the letter was sent.</p>			
9	<p>The information was not provided because it was considered exempt. This decision was made in accordance with the Information Commissioner's Office decision rulings FS50180310 and FS50169734 . In these the ICO found that information about whether or not a complaint had been made about a nurse or midwife, and any information which may or may not have been obtained during the course of any such investigation, was exempt under Section 40(5)(b)(i) of the FoIA because it constituted the personal data of that person and to disclose it would breach the data protection principles.</p>			
10	<p>A response was provided stating that the information requested was exempt under the provisions of the FOIA section 40(2), Personal Information, because the information requested constitutes the personal data of a third party. The requester was invited to re-submit the request under the relevant sections of the Data Protection Act 1998.</p>			
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12	<p>The requester was provided with a list of the documents still held by the NMC which were submitted by the complainant in support of the complaint.</p>			

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13	05/01/2009	FOI/2009/10	Request by complainant for copies of all correspondence relating to a Fitness to Practise investigation against Registrant D.	Yes		Section 40 - (qualified) - Personal information
14		FOI/2009/11	Request for non-recorded information - not treated as FOI request			
15	02/12/2008	FOI/2009/12	Request for: 1. Copies of any statements made to the NMC as part of an investigation following a complaint against registrants E & F 2. Copies of any representations made to the NMC by the registrants concerned 3. A copy of the Committee's reasons for their decision to close the case 4. A transcript of the hearing, if there was a full hearing conducted	Yes		Section 40 - (qualified) - Personal information
16		FOI/2009/13	Request for information about 1. The Nursing and Midwifery Order 2001, Article 26(2)(c) 2. The Nursing and Midwifery (FitP) Rules 2004, Rule 4(2)(a) not treated as FOI request			
17		FOI/2009/14	Request was for historical information about a deceased person			
18	15/01/2009	FOI 2009/15	Request by complainant for a copy of the response to her complaint by Registrant G	Yes		Section 40 - (qualified) - Personal information
19	04/02/2009	FOI/2009/16	Request for the following information for each of the previous five years: 1) Number of fitness to practice hearings due to the practitioner's a) alcohol addiction b) substance abuse. Relating to b) the breakdown to type of substance, if known. 2) Numbers where a) no action taken b) supervision c) suspension d) removed from register. 3) The numbers who returned to full duties after supervision or suspension.	No		
20		FOI/2009/17	Request for information which doesn't fall under FOI - not treated as FOI request			
21		FOI/2009/18	Request for personal data - treated as DPA not FOI request			
22	17/02/2009	FOI/2009/19	Request for details of the authority under which the NMC may obtain information in Fitness to Practice investigations.	No		
23	23/02/2009	FOI/2009/20	Request for disclosure of papers put to the Investigating Committee in the case of Registrant H.	Yes		Section 40 - (qualified) - Personal information
24	18/02/2009	FOI/2009/21	Request for all correspondence sent to the NMC by Registrant IJ relating to a Fitness to Practice investigation.	Yes		Section 40 - (qualified) - Personal information
25		FOI/2009/22	Request for information which doesn't fall under FOI - not treated as FOI request			
26		FOI/2009/23	Request for information which doesn't fall under FOI - not treated as FOI request			

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13	<p>The information was not provided because it was considered exempt. This decision was made in accordance with the Information Commissioner's Office decision rulings FS50180310 and FS50169734 . In these the ICO found that information about whether or not a complaint had been made about a nurse or midwife, and any information which may or may not have been obtained during the course of any such investigation, was exempt under Section 40(5)(b)(i) of the FoIA because it constituted the personal data of that person and to disclose it would breach the data protection principles.</p> <p>Copies of the complainant's own correspondence to and from the NMC were supplied.</p>			
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15	<p>The information was not provided because it was considered exempt. This decision was made in accordance with the Information Commissioner's Office decision rulings FS50180310 and FS50169734 . In these the ICO found that information about whether or not a complaint had been made about a nurse or midwife, and any information which may or may not have been obtained during the course of any such investigation, was exempt under Section 40(5)(b)(i) of the FoIA because it constituted the personal data of that person and to disclose it would breach the data protection principles.</p> <p>With regard to point 4 it was stated that there is no record of public hearings in the names of the registrants. If there had been transcripts could have been provided.</p>			
16	Information already published and available on NMC website			
17	Information provided from General Nursing Council published register			
18	<p>The information was not provided because it was considered exempt. This decision was made in accordance with the Information Commissioner's Office decision rulings FS50180310 and FS50169734 . In these the ICO found that information about whether or not a complaint had been made about a nurse or midwife, and any information which may or may not have been obtained during the course of any such investigation, was exempt under Section 40(5)(b)(i) of the FoIA because it constituted the personal data of that person and to disclose it would breach the data protection principles.</p>			
19	<p>The requested statistical data was provided with the exception of a breakdown of FTP hearings resulting from substance abuse by substance type, and the numbers of practitioners who returned to full duties after supervision or suspension, as this data is not collected by the NMC.</p>			
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22	A response was provided which cited the NMC Order 2001 Section 26(1), 26(2)(c) regarding the Investigating Committee and how it sets out to investigate allegations which are referred to the NMC.			
23	<p>The information was not provided because it was considered exempt. This decision was made in accordance with the Information Commissioner's Office decision rulings FS50180310 and FS50169734 . In these the ICO found that information about whether or not a complaint had been made about a nurse or midwife, and any information which may or may not have been obtained during the course of any such investigation, was exempt under Section 40(5)(b)(i) of the FoIA because it constituted the personal data of that person and to disclose it would breach the data protection principles.</p>			
24	<p>The information was not provided because it was considered exempt. This decision was made in accordance with the Information Commissioner's Office decision rulings FS50180310 and FS50169734 . In these the ICO found that information about whether or not a complaint had been made about a nurse or midwife, and any information which may or may not have been obtained during the course of any such investigation, was exempt under Section 40(5)(b)(i) of the FoIA because it constituted the personal data of that person and to disclose it would breach the data protection principles.</p>			
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27		FOI/2009/24	Request for information which doesn't fall under FOI - not treated as FOI request			
28	16/03/2009	FOI/2009/25	Request by complainant for copies of submissions made by Registrant J and other parties to the Fitness to Practise investigation of Registrant J	Yes		Section 40 - (qualified) - Personal information
29	13/03/2009	FOI/2009/26	Request for information below: 1. How many nurses, midwives and specialist community public health nurses are currently registered with the NMC? 2. How many nurses, midwives and specialist community public health nurses who declared having a. police cautions b. convictions are currently registered with the NMC? Please note if you are unable to break the figure down by caution and conviction please provide a total for both. 3. Please provide a description of a. the nature of the caution b. the nature of the conviction If you are unable to break the information down by caution and conviction please provide as much information as possible about the nature of each offence.	Yes		Section 12 exemption where cost of compliance exceeds appropriate limit
30	17/03/2009	FOI/2009/27	Request for registration details of practitioners of a particular surname who were registered in August 2007	No		
31	19/03/2009	FOI/2009/28	Request received by The National Archives(TNA) for release of closed documents of the NMC's predecessor body	No		
32	23/03/2009	FOI/2009/29	Request by complainant for copy of Registrant K's response to the allegations made by the complainant against Registrant K Would like to view the registrants response to allegations - this case is still ongoing and has not come to a decision yet.	Yes		Section 40 - (qualified) - Personal information
33	26/03/2009	FOI/2009/30	Request for the number of registered South African qualified nurses over the last ten years	No		
34	23/03/2009	FOI/2009/31	Request for how many staff are employed at the NMC and the number of staff with disabilities	No		
35	16/02/2009	FOI/2009/32	Request for a copy of the report/complaint which was subsequently presented by the case manager to a Fitness to Practise Investigating Committee panel compiled from the information the complainant presented to the NMC.	Yes		Section 40 - (qualified) - Personal information
36	31/03/2009	FOI/2009/33	Request for copies of the case notes in the Fitness to Practise case of Registrant L	Yes		Section 40 - (qualified) - Personal information

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28	The information was not provided because it was considered exempt. This decision was made in accordance with the Information Commissioner's Office decision rulings FS50180310 and FS50169734 . In these the ICO found that information about whether or not a complaint had been made about a nurse or midwife, and any information which may or may not have been obtained during the course of any such investigation, was exempt under Section 40(5)(b)(i) of the FoIA because it constituted the personal data of that person and to disclose it would breach the data protection principles.			
29	The requested statistical data was provided with the exception of those for part 3 of the request because the nature of cautions or convictions is not recorded on the register. It was explained that this information is retained in individual case files but it was considered to be exempt under Section 12 of the FoIA because it was estimated that to provide it would exceed the appropriate time/cost limit, which for the NMC is 18 hours or £450.			
30	A response was provided which included, for each person identified, details published in the part of the register accessible online - including name, expiry date of registration, register entry, start date, recordable qualifications and geographical location.			
31	TNA informed NMC that they decided not to release the information because it is personal data of someone who may still be living, but that they had informed the enquirer that they would reconsider the case if the individual concerned was deceased and they were able to provide proof of death.			
32	The information was not provided because it was considered exempt. This decision was made in accordance with the Information Commissioner's Office decision rulings FS50180310 and FS50169734 . In these the ICO found that information about whether or not a complaint had been made about a nurse or midwife, and any information which may or may not have been obtained during the course of any such investigation, was exempt under Section 40(5)(b)(i) of the FoIA because it constituted the personal data of that person and to disclose it would breach the data protection principles.			
33	Statistics were provided of admissions to the NMC register by overseas country from 1997/1998 to 2007/2008. It was stated that the numbers include both nurses and midwives registered by the NMC.			
34	A response was provided in which was included: the number of employees as at 23 March 2009; the breakdown of those who declared that they have a disability; those who declared that they do not have a disability; those who declared that they "prefer not to answer"; and those who decided not to answer the question and are listed as "Unknown".			
35	The information was not provided because it was considered exempt. This decision was made in accordance with the Information Commissioner's Office decision rulings FS50180310 and FS50169734 . In these the ICO found that information about whether or not a complaint had been made about a nurse or midwife, and any information which may or may not have been obtained during the course of any such investigation, was exempt under Section 40(5)(b)(i) of the FoIA because it constituted the personal data of that person and to disclose it would breach the data protection principles.			
36	The information was not provided because it was considered exempt. This decision was made in accordance with the Information Commissioner's Office decision rulings FS50180310 and FS50169734 . In these the ICO found that information about whether or not a complaint had been made about a nurse or midwife, and any information which may or may not have been obtained during the course of any such investigation, was exempt under Section 40(5)(b)(i) of the FoIA because it constituted the personal data of that person and to disclose it would breach the data protection principles.			

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37	01/04/2009	FOI/2009/34	Request for the following information broken down by geographical location since 2006: a) Data relating to the number of complaints made against nurses and midwives b) Of this figure, the number of cases where: (i) the complaint has been dropped (ii) the nurse/midwife has been cautioned (iii) the nurse/midwife has been suspended (iv) the nurse/midwife has been struck off the register.	No		
38		FOI/2009/35	Request for information which doesn't fall under FOI - not treated as FOI request			
39	17/04/2009	FOI/2009/36	Request for a transcript of the Investigating Committee's hearing with respect to Registrant M	Yes		Section 40 - (qualified) - Personal information
40	20/04/2009	FOI/2009/37	Request for the following information: a) How many medical practitioners were suspended by the NMC in each of the years since the NMC was established b) How many medical practitioners were suspended/have currently been suspended by the NMC for longer than: i) 1 year, ii) 2 years, iii) 3 years, iv) 4 years, v) 5 years c) What is the longest running current suspension currently being investigated by the NMC d) How many medical practitioners have had their registration permanently revoked by the NMC in each of the last 5 years e) How many medical practitioners registered with the NMC have been the subject of complaints made to the NMC in each of the last 5 years f) In relation to question e), how many of these complaints have led to formal investigations	Yes		Section 22 Information intended for future publication
41	20/04/2009	FOI/2009/38	In reference to the Royal Sussex Hospital at the time [REDACTED] was filming in 2005: 1) What investigation has the NMC carried out into the appalling conditions? 2) How many nurses were investigated? 3) How many were struck off? 4) Are any still under investigation?			
42	09/04/2009	FOI/2009/39	For each year from 2004-2009 - 1. How many practising midwives 2. How many midwives have been referred to the Preliminary Proceedings Committee a) Of these how many were NHS and how many were Independent midwives? 3. How many midwives have been referred to the CCC? a) Of these how many were NHS and how many were Independent midwives? 4. How many midwives have been found guilty of professional misconduct? a) Of these how many were NHS and how many were Independent midwives? 5. How many midwives have been cautioned? a) Of these how many were NHS and how many were Independent midwives? 6. How many midwives have been struck off the register? a) Of these how many were NHS and how many were Independent midwives? 7. How many case against midwives have been brought by other midwives or health practioners? a) Of these how many were NHS and how many were Independent midwives and how many went to the CCC? 8. How many cases against midwives have been brought by lay people? a) Of these how many were NHS and how many were Independent midwives and how many went to the CCC? THIS LETTER IS BEING SENT FROM IAN TODD - THERE IS MORE TO THIS LETTER BUT IT IS NOT FOI RELATED	No		

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37	The requested statistical data was provided.			
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39	The information was not provided because it was considered exempt. This decision was made in accordance with the Information Commissioner's Office decision rulings FS50180310 and FS50169734 . In these the ICO found that information about whether or not a complaint had been made about a nurse or midwife, and any information which may or may not have been obtained during the course of any such investigation, was exempt under Section 40(5)(b)(i) of the FoIA because it constituted the personal data of that person and to disclose it would breach the data protection principles.			
40	It was clarified that by 'medical practitioners' the enquirer meant nurses and midwives. Statistical data was provided for parts b) and c) of the request. For most of the rest of the information the requester was referred to the FtP Annual Report which is available on the NMC web site. It was explained that data for 2008/9 was exempt under Section 22 - Information intended for future publication - since this was still being compiled and was intended for publication later in 2009.			
41	Explained that: 1. The NMC can only consider complaints regarding the practise of individual nurses and midwives such as the complaint made by Brighton and Sussex University Hospitals Trust regarding [REDACTED]. 2. The NMC did not receive complaints regarding other nurses featured in the Panorama broadcast. 3. The NMC cannot investigate hospitals as this does not fall within our remit. It is our understanding that the Healthcare Commission, the organisation formerly responsible for complaints about NHS hospitals, looked into the allegations made in the Panorama broadcast in 2005. Referred enquirer to the Care Quality Commission which is the organisation which has succeeded the Healthcare Commission if they wanted information about that investigation. Gave information about their website.			
42	The requested statistical data was provided where available.			

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43	20/04/2009	FOI/2009/40	Request for the actual job titles, names, email addresses and telephone numbers for the holders of the following roles within the NMC: Chief Executive Officer; Chief Information Officer; Chief Technology Officer; Chief Finance Officer; Procurement Director; HR Director; IT Director; IT infrastructure manager; Networking manager; Datacentre manager; Telecommunications manager	No		
44	21/04/2009	FOI/2009/41	Request for date when registrant N joined the register as a mental health practitioner.	No		
45	21/04/2009	FOI/2009/42	Request for the disciplinary panel reports of all cases involving mental health nurses having sexual contact with patients since 2000 or as far back as available (in cases where these findings were upheld)	Yes		Section 12 exemption where cost of compliance exceeds appropriate limit
46	21/04/2009	FOI/2009/43	1) The outcomes of any proceedings held by the NMC, or pending, involving registered nurses employed at: a) Maidstone and Tunbridge Wells NHS Trust in 2004-6 the period prior to the C. difficile outbreak in date which was the subject of a Healthcare Commission investigation b) Stafford General Hospital in 2005-8 prior to publication of the Healthcare Commission report on deaths linked to the accident and emergency department c) Gosport War Memorial Hospital in 1998 and 1999 during which time events led to an investigation by the Commission for Health Improvement	Yes		Section 12 exemption where cost of compliance exceeds appropriate limit
47	22/04/2009	FOI/2009/44	Request for a copy of the the adjudication in the Fitness to Practise case of Registrant [REDACTED] plus the names and qualifications of the panel members.	Yes		Section 22 Information intended for future publication
48	28/04/2009	FOI/2009/45	Request for copies of any documentation between the Nursing & Midwifery Council, Healthcare Commission and the Department of Health in relation to the Fitness to Practise case of Registrant O.	Yes		Section 40 - (qualified) - Personal information
49	28/04/2009	FOI/2009/46	Request for copies of all internal documentation (ie. notes/emails etc) within the Nursing & Midwifery Council in relation to the Fitness to Practise case of Registrant [REDACTED].	Yes		
50	28/04/2009	FOI/2009/47	Request for the names of the members on the Conduct and Competence Committee in relation to the Fitness to Practise case of Registrant [REDACTED].	No		
51	24/04/2009	FOI/2009/48	Request for any information held which suggests that Registrant P is not a suitable carer for her granddaughter.	No		
52	30/04/2009	FOI/2009/49	Request for many different pieces of information relating to the Fitness to Practise case of Registrant [REDACTED], of which the requests listed below were deemed to fall inside the Freedom of Information (FOI) remit. 2.1) Copies of the complaints the NMC received from: a) The Royal Sussex Trust management b) The Clinical staff of the wards filmed neglecting patients c) The Patients or relatives of those patients neglected by the Royal Sussex Trust in 2004-2005 d) Any other body or individual 2.2 a) Evidence used to justify findings against [REDACTED] e) A copy of the NMC procedures following report of allegations against a registrant 2.4 a) The experience and qualifications of the NMC panel members in the case of [REDACTED] d) Who selected the Panel members to serve on the NMC	Yes	Sec 41 Information in Confidence Sec 44 Prohibitions on Disclosure,	Section 22 Information intended for future publication Section 40 - (qualified) - Personal information

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43	The requested information was provided in a spreadsheet format as provided by the requester. The response pointed out that this information is covered by the Data Protection Act and it should not be used for any purpose not approved by the individuals concerned.			
44	The date registrant N joined the register as a mental health practitioner was provided.			
45	The information could not be provided as the NMC records case files by a registrant's name, not by outcome or health sector. Therefore, to ascertain if the information requested was recorded would have meant checking each individual case file, during the periods in question, and it was estimated that this would have exceeded the appropriate limit of resources (as stipulated in Section 12 of the FoIA), which for the NMC is 18 hours or £450.			
46	The information could not be provided as the NMC records case files by a registrant's name, not by outcome or health site location. Therefore, to ascertain if the information requested was recorded would have meant checking each individual case file, during the periods in question, and it was estimated that this would have exceeded the appropriate limit of resources (as stipulated in Section 12 of the FoIA), which for the NMC is 18 hours or £450.			
47	The adjudication was provided as the case in question went to a public hearing, and therefore this information was in the public domain. Names and status of Panel members was provided, but not their qualifications as this information was being updated and was due to be published on the NMC website in Sep 2009, therefore being exempt under Section 22 (Information Published at a Later Date).			
48	Emails between staff members and the Department of Health were provided with some information in the e-mails being omitted because it was exempt under Section 40(2) Personal Information, because they contained personal data about third parties and to disclose it would breach the Data protection Act 1998 .			
49	Internal NMC emails and memos were provided with some information in the e-mails being omitted because it was exempt under Section 40(2) Personal Information, because they contain personal data about third parties and to disclose it would breach the Data protection Act 1998 .			
50	Confirmed the names of the independent panel presiding in the case of Registrant [REDACTED].			
51	Informed the NMC could not comment on whether Registrant P was a suitable carer for her granddaughter, however the transcript of the hearing in the case of registrant P, because it was a public hearing, was provided to assist the enquirer in making a judgement. Registrant P had provided consent for disclosure.			
52	<p>2.1 - The information was not disclosed under exemption 40(2) Personal Information</p> <p>2.2 a) - The information was not disclosed under exemptions 40(2) Personal Information, 41 Information in Confidence, and 44 Prohibitions on Disclosure</p> <p>e) The enquirer was directed to the NMC procedures available on the NMC web site.</p> <p>2.4 a) - The names and status of Panel members was provided, but not their qualifications as this information was being updated and was due to be published on the NMC web site in Sep 2009, therefore being exempt under Section 22 (Information Published at a Later Date).</p> <p>d) Names were withheld and an outline of the recruitment, selection, training and appointment process for panellists was provided.</p>			

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53	01/05/2009	FOI/2009/51	Request for the date that the NMC or (UKCC) first instructed named solicitors to act in the complaint made against registrant Q.	No		
54	07/05/2009	FOI/2009/52	Request for the names of the Investigating Committee panel members in the Fitness to Practise case of registrant R, how many investigators were involved on the panel and what their experience/backgrounds were	Yes		Section 22 Information intended for future publication Sec. 40(5) Duty to confirm or deny
55	12/05/2009	FOI/2009/53	Request for a copy of the NMC self assessment for CHRE performance review, plus the supporting information used to evidence the NMC's position	Yes		Section 22 Information intended for future publication
56	13/05/2009	FOI/2009/54	Request for a list of the pool of panel members, their backgrounds and qualifications	Yes		Section 22 Information intended for future publication
57	13/05/2009	FOI/2009/55	Request for a list of the pool of panel members, their backgrounds and qualifications	Yes		Section 22 Information intended for future publication
58	13/05/2009	FOI/2009/56	Request for the outcome of the Fitness to Practise case of registrant S as the registrant is appealing being placed on the POVA and POCA lists		Section 40 - (absolute) - Personal information	
59	18/05/2009	FOI/2009/57	Request for a copy of the NMC Performance Review Self Assessment supplied to the CHRE in relation to the 2009 Performance Review process	Yes		Section 22 Information intended for future publication
60	22/05/2009	FOI/2009/58	The breakdown by ethnic original of registrants who, in the last two years: a) have been subject to proceedings of the Fitness to Practice Committee b) who have been subject to disciplinary proceedings as a result of those proceedings	No		
61	22/05/2009	FOI/2009/59	The following information in respect of a particular chair of NMC Fitness to Practice Committee 1) Her period of office as a Chair of Fitness to Practice Committee 2) Her employment history in the two years prior to becoming a Chair and during her period of office 3) Her professional background in the two years prior to becoming a chair and during her period of office	Yes		Section 22 Information intended for future publication
62	22/05/2009	FOI/2009/60	The outcomes of any proceedings held by the NMC, or pending, involving registered nurses employed at Maidstone and Tunbridge Wells NHS Trust in 2004-6 the period prior to the C. difficile outbreak which was the subject of a Healthcare Commission investigation (previously submitted as part of a wider request which the NMC was unable to respond to)	Yes		Section 12 exemption where cost of compliance exceeds appropriate limit
63	22/05/2009	FOI/2009/61	The outcomes of any proceedings held by the NMC, or pending, involving registered nurses employed at Stafford General Hospital in 2005-8 prior to publication of the Healthcare Commission report on deaths linked to the accident and emergency department (previously submitted as part of a wider request to which the NMC was unable to respond)	Yes		Section 12 exemption where cost of compliance exceeds appropriate limit

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53	The requested date was provided			
54	<p>The response provided the number of panellists that would sit on panels. We were unable, under FoIA Section 40(5)(b)(i) (The Duty to Confirm or Deny) to provide any information about a specific possible case because it is personal data of the individual nurse(s) or midwife(s) involved in any possible case. This includes the names of the Investigating Committee panellists who may have been involved in specific fitness to practise cases. The request would have been considered under the data protection if it was considered possible that personal data about the enquirer could be held in relation to any possible case.</p> <p>The pool of NMC panellist names and qualifications was being updated at the time and was due to be published on the NMC website in Sep 2009. This data was therefore exempt under Section 22 (Information Published at a Later Date).</p>			
55	The requested information was not provided as it was considered to be exempt under FoIA Section 22 (Future Publication). The NMC intended to publish the original self assessment, and supporting evidence compiled at the beginning of the CHRE performance review process, on the internet once the performance review process was complete and the report by the CHRE had been laid in Parliament.			
56	Provided list of pool of NMC panellist names and information about required panellist competencies but not their qualifications as this information was being updated and was due to be published on the NMC website in Sep 2009, therefore being exempt under Section 22 (Information Published at a Later Date).			
57	Provided list of pool of NMC panellist names and information about required panellist competencies but not their qualifications as this information was being updated and was due to be published on the NMC website in Sep 2009, therefore being exempt under Section 22 (Information Published at a Later Date).			
58	The enquirer was advised to make a request for the required information under the DPA but there was no further contact with the NMC. The FoI request is now closed.			
59	The requested information was not provided as it was considered to be exempt under FoIA Section 22 (Future Publication). The NMC intended to publish the original self assessment, and supporting evidence compiled at the beginning of the CHRE performance review process, on the internet once the performance review process was complete and the report by the CHRE had been laid in Parliament.			
60	The response explained that the NMC did not at that time have details of the ethnic origin of the nurses and midwives on the register, but that the NMC was to begin collecting this data in August 2009 and expected to have details of the ethnic make up of the register approximately 12 months after that.			
61	The date the Chair was appointed as a Chair was provided. Information about her employment history and professional background was not currently up to date but it was intended that this would be updated and published by end September 2009 and it was therefore deemed exempt under Section 22 Information intended for future publication.			
62	The response explained that since the request is very broad it was estimated that to provide a response would exceed the appropriate limit of resources, which for the NMC is 18 hours or £450. Section 12 of the FoIA sets out that a public authority is exempt from it's obligation to comply with a request for information if the authority estimates that the cost of complying would exceed the appropriate limit.			
63	The response explained that since the request is very broad it was estimated that to provide a response would exceed the appropriate limit of resources, which for the NMC is 18 hours or £450. Section 12 of the FoIA sets out that a public authority is exempt from it's obligation to comply with a request for information if the authority estimates that the cost of complying would exceed the appropriate limit.			

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64	28/05/2009	FOI/2009/62	1. How many patients, their carers, families or friends complained to the NMC about Nurse [REDACTED] filming of sub-human care at the Royal Sussex hospital? 2. How many nursing colleagues, other staff or managers complained to the NMC about Nurse [REDACTED] filming of sub-human care at the Royal Sussex hospital? 3. How many complaints has the NMC received from anyone about nursing care on the ward where Nurse [REDACTED] filmed? 4. What offences under NMC rules, regulations, protocols, codes of practice or guidance carry a mandatory sentence of 'striking off' the nursing register?	No		
65	29/05/2009	FOI/2009/63	Request for: 1) Copies of invoices raised on the NMC (UKCC) by a specified solicitor's firm in respect of a Fitness to Practise case for the year of 2001 2) Confirmation of whether there was a tendering process prior to UKCC instructing the solicitor's firm to act and, if so, what date did the tendering process start	No		
66	08/06/2009	FOI/2009/64	Request for the number of complaints made against nurses employed at Warrington Hospital or its previous names of North Cheshire Trust and more recent name of Warrington & Halton Hospitals Foundation Trust - during the period covering November 2007 through to May 2009. To include complaints that have been made and no action taken, complaints made & action taken, and complaints that resulted in no further NMC involvement.	Yes		Section 12 exemption where cost of compliance exceeds appropriate limit
67	21/05/2009	FOI/2009/65	Request by Registrant T to know: 1) How much money has been spent on pursuing the Fitness to Practise investigation against them 2) How much money has been spent on lawyers to investigate this complaint	Yes		Section 43 - Commercial interests
68	08/06/2009	FOI/2009/66	Findings of investigation by Ms W undertaken in 2005. This did not relate to a Fitness to Practise case.	No		
69	15/06/2009	FOI/2009/67	Request for the total number of South African qualified nurses and midwives on the register currently	No		
70	24/06/2009	FOI/2009/68	a) Are persons registered with your organisation required to report any criminal offences that they commit? b) Do you collect data on any convictions that persons registered with your organisation commit whilst a member? c) (i) Are there any rules on automatic disqualification, relating to criminal offences, from your organisation? ii) If so can you list which convictions result in an automatic disqualification? iii) How many persons registered with your organisation were automatically disqualified due to criminal offences in the last three financial years: April 2006 to March 2007, April 2007 to March 2008, April 2008 to March 2009? d) How many crimes were committed by persons registered with your organisation in the last three financial years? i) April 2006 to March 2007, ii) April 2007 to March 2008, iii) April 2008 to March 2009	No		
71	24/06/2009	FOI/2009/69	How many persons registered with NMC have a criminal record? Break this down into members who have criminal records under the following categories: i) Violent offences, ii) Sexual offences, iii) Substance misuse, and iv) Other	No		
72	26/06/2009	FOI/2009/70	Request for Information relating to a general case where the registrant received a sanction due to drink driving related charges.	No		
73	30/06/2009	FOI/2009/71	Annual salary details from the last three financial years for: 1. The Board Director/Committee Chair 2. All chief executives 3. All deputy executives/directors/chairs	Yes		Section 22 Information intended for future publication
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64	<p>1 & 2. The number of complaints received about [REDACTED] filming was provided (one only, from Brighton & Sussex University Hospital Trust)</p> <p>3. It was explained that the NMC only deals with complaints against individuals, not institutions - it was suggested that the enquirer contact the Care Quality Commission</p> <p>4. It was explained that no sanctions were mandatory and that each case is considered on its own merits. A copy of the NMC's list of competencies for FIP panellists was included as a supporting document.</p>			
65	<p>The requested information was provided about the date solicitors' firms, including the one in question, tendered to work for the UKCC/NMC. It was explained however that invoices for the financial years 2001-2002 could not be provided as they were destroyed in April 2008 in line with the organisation's record retention policy.</p>			
66	<p>The response explained that due to the way in which the NMC records cases, which is by name of registrant not health care setting, it was estimated that to provide a response would exceed the appropriate limit of resources, which for the NMC is 18 hours or £450. Section 12 of the FoIA sets out that a public authority is exempt from its obligation to comply with a request for information if the authority estimates that the cost of complying would exceed the appropriate limit.</p>			
67	<p>The response explained that the costs incurred in connection with the case relate solely to solicitors' fees as the NMC does not itemise or record costs that are incurred as part of NMC staff workload. The amount spent on solicitors' fees was considered to be exempt under section 43 of the FoIA (Commercial Interests) since disclosing solicitor's rates would be prejudicial to that firms' ability to competitively tender against other firms of solicitors for work with the NMC and other organisations.</p>			
68	<p>Information provided</p>			
69	<p>The response confirmed that, as at 26th June 2009, the number of effective practitioners that have ever registered a qualification from South Africa is 5202</p>			
70	<p>a) & b) Explained NMC registration procedures relating to cautions and convictions</p> <p>c) Confirmed that there are no rules on automatic disqualification relating to criminal offence but that each case is considered individually on its merits.</p> <p>d) Provided data on cautions and convictions declared by individuals renewing registration between Oct 2006 to July 2009, broken down offence type.</p> <p>Referred enquirer to NMC website for further information on cautions and convictions and about fitness to practise procedures.</p>			
71	<p>Explained NMC registration procedures relating to cautions and convictions. Confirmed that there are no rules on automatic disqualification relating to criminal offence but that each case is considered individually on its merits. Provided data on cautions and convictions declared by individuals renewing registration between Oct 2006 to July 2009, broken down by offence type .</p> <p>Referred enquirer to NMC website for further information on cautions and convictions and about fitness to practise procedures.</p>			
72	<p>Provided the Conduct and Competence Committee decision reasons for three registrant cases. All of these cases went to a full hearing and the reasons were made public and therefore disclosure was possible.</p>			
73	<p>Requested information was provided except for year ended March 2009. This information was in the draft annual accounts which had not yet been published at the time. It was considered to be exempt under Section 22 (Information intended for future publication). The enquirer was informed that the data would be published by September 2009 at the latest.</p>			
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19		Section 36 - Prejudice to the effective conduct of public affairs (absolute)					
20		Section 40 - (absolute) - Personal information					
21		Section 41 - Information provided in confidence					
22		Section 44 - Prohibitions on disclosure					
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30						Section 22 - Future publication	
31						Section 23 - Historical Records	
32						Section 24 - National Security	
33						Section 26 - Defence	
34						Section 27 - International relations	
35						Section 28 - Relations within the UK	
36						Section 29 - The economy	
37						Section 30 - Investigations by a public authority	
38						Section 31 - Law enforcement	
39						Section 33 - Audit functions	
40						Section 35 - Formulation of government policy	
41						Section 36 - Prejudice to the effective conduct of public affairs (qualified)	
42						Section 37 - Communication with Her Majesty/Honours	
43						Section 38 - Health and safety	
44						Section 39 - Environmental information	
45						Section 40 - (qualified) - Personal information	
46						Section 42 - Legal professional privilege	
47						Section 43 - Commercial interests	