

1 **Gosport Investigation**

2

3 *Acknowledgements*

4 Staff interviewed by CHI's investigation team (see appendix
5 D) and those who assisted CHI during the course of the
6 investigation. In particular Fiona Cameron, General
7 Manager, Caroline Harrington, Corporate Governance Advisor,
8 Max Millet, Chief Executive (until 31.3.02) and Ian Piper
9 Chief Executive of Fareham and Gosport PCT (since 1.4.02).
10 Staff and patients who welcomed the CHI team on to the wards
11 during observation work.

12

13

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1 Chapter 1 - Terms of reference and process of the
2 investigation

3

4 During the summer of 2001, concerns were raised with CHI
5 about the use of some medicines, particularly analgesia and
6 levels of sedation, and the culture in which care was
7 provided for older people at the Gosport War Memorial
8 Hospital. These concerns also included the responsibility
9 for clinical care and transfer arrangements with other
10 hospitals.

11

12 On 18 September 2001, CHI's Investigations and Fast Track
13 Clinical Governance Programme Board decided to undertake an
14 investigation into the management, provision and quality of
15 healthcare for which Portsmouth Healthcare NHS Trust is
16 responsible at the Gosport War Memorial Hospital. CHI's
17 decision was based on evidence of high risk activity and the
18 likelihood that the possible findings of a CHI investigation
19 would result in lessons for the whole of the NHS.

20

21 *Terms of reference*

22 The investigation terms of reference were informed by a
23 chronology of events surrounding the death of one patient
24 provided by the trust. Discussions were also held with the
25 trust, the Isle of Wight, Portsmouth and South East
26 Hampshire Health Authority and the NHS South East Regional
27 Office to ensure that the terms of reference would deliver a
28 comprehensive report to ensure maximum learning locally and
29 for the NHS.

30

31 The terms of reference agreed on 9 October 2001 are as
32 follows:

33

34 The investigation will look at whether, since 1998, there
35 had been a failure of trust systems to ensure good quality
36 patient care. The investigation will focus on the following
37 elements within services for older people (inpatient,
38 continuing and rehabilitative care) at Gosport War Memorial
39 Hospital.

40

- 41 i). Staffing and accountability arrangements, including
42 out of hours.
43 ii). The guidelines and practices in place at the trust
44 to ensure good quality care and effective
45 performance management.
46 iii). Arrangements for the prescription, administration,
47 review and recording of drugs.

- 1 iv). Communication and collaboration between the trust
2 and patients, their relatives and carers and with
3 partner organisations.
4 v). Arrangements to support patients and their
5 relatives and carers towards the end of the
6 patients' life.
7 vi). Supervision and training arrangements in place to
8 enable staff to provide effective care.
9

10 In addition, CHI will examine how lessons to improve patient
11 care have been learnt across the trust from patient
12 complaints.
13

14 The investigation will also look at the adequacy of the
15 trust's clinical governance arrangements to support
16 inpatient continuing and rehabilitation care for older
17 people.
18

19 *CHI's investigation team*
20

21 Alan Carpenter, chief executive, Somerset Coast Primary Care
22 Trust

23 Anne Grosskurth, CHI Support Investigations Manger

24 Dr Tony Luxton, consultant geriatrician, Lifespan Healthcare
25 NHS Trust

26 Julie Miller, CHI Lead Investigations Manager

27 Maureen Morgan, Independent Consultant and former Community
28 Trust Nurse Director

29 Dr Mary Parkinson, Retired GP and Lay Member (Age Concern)

30 Jennifer Wenborne, Independent Occupational Therapist
31

32 The team was supported by:

33 Liz Fradd, CHI Nurse Director, lead CHI director for the
34 investigation

35 Nan Newberry, CHI Senior Analyst

36 Kellie-Ann Rehill, CHI Investigations Coordinator

37 A medical notes review group established by CHI to review
38 anonymised medical notes (see appendix E)

1

2 *The investigation process*

3 The investigation consisted of five inter related parts:

4

5 Review and analysis of a range of documents specific to the
6 care of older people at the trust, including clinical
7 governance arrangements, expert witness reports forwarded by
8 the police and relevant national documents (See appendix A
9 for a list of documents reviewed).

10

11 Analysis of views received from 36 patients, relatives and
12 friends about care received at the Gosport War Memorial
13 Hospital. Views were obtained through a range of methods,
14 including meetings, correspondence, telephone calls and a
15 short questionnaire. (See appendix B for an analysis of
16 views received).

17

18 A five day visit by the CHI investigation team to the
19 Gosport War Memorial Hospital when a total of 59 staff from
20 all groups involved in the care and treatment of older
21 people at the hospital and relevant trust management were
22 interviewed. CHI also undertook periods of observation on
23 Daedalus, Dryad and Sultan wards. (See appendix C for a list
24 of all staff interviewed).

25

26 Interviews with relevant agencies and other NHS
27 organisations, including those representing patients and
28 relatives (See appendix D for a list of organisations
29 interviewed).

30

31 An independent review of anonymised clinical and nursing
32 notes of a random sample of patients who had recently died
33 on Daedalus, Dryad and Sultan wards between August 2001 and
34 January 2002. The term of reference for this specific piece
35 of work, the membership of the CHI team which undertook the
36 work, and a summary of findings are attached at appendix E.

1 Chapter 2 - Background to the investigation

2

3 *Complaints to the Trust*

4 There have been ten complaints to the trust concerning
5 patients treated on Daedalus, Dryad and Sultan wards since
6 1998. Three complaints between August and November 1998
7 raised concerns which included the use of diamorphine and
8 levels of sedation on Daedalus and Dryad wards, including
9 the complaint which triggered the initial police
10 investigation, which was not pursued through the NHS
11 Complaints Procedure.

12

13

Chapter 3 - National and Local Context

Trust Background

Gosport War Memorial Hospital was part of Portsmouth Healthcare NHS Trust (PHCT) between April 1994 and April 2002. The hospital is situated on the Gosport peninsula and has 113 beds. Together with outpatient services and a day hospital, there are beds for older people and maternity services. The hospital does not admit patients who are acutely ill, it has neither an A&E nor intensive care facilities. PHCT provided a range of community and hospital based services for the people of Portsmouth, Fareham, Gosport and surrounding areas. These services included mental health (adult and elderly), community paediatrics, elderly medicine, learning disabilities and psychology.

The trust was one of the largest community trusts in the south of England and employed almost 5,000 staff. In 2001/02 the trust had a budget in excess of £100 million, over 20% of income was spent on its largest service, elderly medicine. All financial targets were met in 2000/01.

Move Towards the Primary Care Trust

PHCT was dissolved on 31 March 2002. Services have been transferred to local Primary Care Trusts (PCTs), including the Fareham and Gosport PCT which became operational, as a level four PCT, in April 2002. Arrangements have been made for various local PCTs to "host" clinical services on behalf of other organisations. This will not mean that the PCT will commission services of another PCT. The Fareham and Gosport PCT will manage the nursing staff, premises and facilities of a number of sites, including the Gosport War Memorial Hospital. Medical staff involved in the care of older people, including those working at the Gosport War Memorial Hospital, are now employed by the East Hampshire PCT. Further detail of PCT hosting arrangements can be found at appendix F

Portsmouth NHS Healthcare Trust Strategic Management

The Trust Board consisted of a Chair, 5 Non-Executive Directors, the Chief Executive and the executive directors of operations, medicine, nursing and finance, together with the personnel director. The trust was organised into 6 divisions, two of which are relevant to this investigation. The Fareham and Gosport Division which managed the Gosport War Memorial Hospital and the Department of Elderly Medicine.

1 CHI heard that the Trust was well regarded in the local
2 health community and had developed constructive links with
3 the Health Authority and local PCGs. For example in the
4 lead up to the new PCT, PHCT's Director of Operations worked
5 for two days each week for the East Hampshire PCT. Other
6 examples included the joint work of the PCG and the Trust on
7 the Development of Intermediate Care and Clinical
8 Governance. High regard and respect for trust staff was
9 also commented on by the Local Medical Committee, UNISON and
10 the RCN.

11
12 *Local Services for Older People*

13 Before April 2002, all services for older people in
14 Portsmouth, including acute care, rehabilitation and
15 continuing care were provided by the department of medicine
16 for elderly people which was managed by the Portsmouth
17 Healthcare NHS Trust. Acute services are based in the Queen
18 Alexandra and St Mary's Hospitals, part of the Portsmouth
19 Hospitals NHS Trust. Though an unusual arrangement,
20 precedents for this model of care did exist, in Southampton
21 Community Trust for example. Management of all services for
22 older people has now transferred to the East Hampshire PCT.
23 Until August 2001, the Royal Hospital Halse, a Ministry of
24 Defence military hospital on the Gosport peninsula also
25 provided acute medical care to older civilians as well as
26 military staff.

27
28 *Service Performance Management*

29 The principle tool for the performance management of the
30 Fareham and Gosport division was the quarterly divisional
31 review, which considered regular reports on clinical
32 governance, complaints and risk. The division was led by a
33 general manager, who reported to the chief executive.
34 Divisional management at PHCT was well defined, with clear
35 systems for reporting and monitoring. Leadership at Fareham
36 and Gosport divisional level was strong with clear
37 accounting structures to corporate and board level.

38
39 *In patient services for older people at the Gosport War
40 Memorial Hospital 1998-2002*

41 The Gosport War Memorial Hospital provides continuing care,
42 rehabilitation, day hospital and outpatient services for
43 older people and was managed by the Fareham & Gosport
44 Division. In November 2000 there was a change of use of
45 beds at the hospital to provide community rehabilitation and
46 post acute beds as a result of local developments to develop
47 intermediate and rehabilitation services in the community.

1 In 1998 four wards admitted older patients at the War
 2 Memorial Hospital; Dryad, Daedalus, Sultan and Mulberry
 3 wards. This is still the case today.

4

Ward	1998	2002
Dryad	20? Continuing care beds. Patients admitted under the care of a consultant, with some care provided by a clinical assistant.	20 continuing care beds for frail elderly patients and slow stream rehabilitation. Patients are admitted under the care of a consultant. Day to day care is provide by a staff grade doctor.
Daedalus	Trust to complete?? Patients admitted under the care of a consultant, with some care provided by a clinical assistant.	24 rehabilitation beds; 8 general, 8 fast and 8 slow stream (since November 2000). Patients are admitted under the care of a consultant. Day to day care is provided by a staff grade doctor.
Sultan	24 GP beds with care managed by patients own GPs. Patients are not exclusively older patients, care can include rehabilitation and respite care. A ward manager (or sister) manages the ward, which was staffed by PHCT staff.	As for 1998, though since April 2002, staff now employed by a PCT.

5

6 *Admission criteria*

7 *Dryad and Daedalus wards*

8 The current criteria for admission to both Dryad and
 9 Daedalus wards, are that the patient must be over 65 and be
 10 registered with a GP within the Gosport PCG. In addition,
 11 Dryad patients must have a Barthel score of under 4/20 and
 12 require specialist medical and nursing intervention. The
 13 Barthel score is a validated tool used to measure physical
 14 disability. Daedalus patients must require multidisciplinary
 15 rehabilitation for strokes and other conditions.

16

17 The case note review undertaken by CHI confirmed that the
 18 admission criteria for these two wards was being adhered to
 19 in recent months, appropriately admitted patients were being
 20 cared for.

21

22 *Sultan ward*

23 There is a comprehensive list of admission criteria
 24 developed in 1999, all of which must be met prior to
 25 admission. The criteria states that patients must not be
 26 medically unstable and no intravenous lines must be in situ.
 27 CHI found examples of some recent patients who had been
 28 admitted with more complex needs than stipulated in the
 29 admission criteria.

30

1 *Elderly mental health*

2 Though not part of the CHI investigation, older patients are
3 also cared for on the Mulberry ward, a 40 bed assessment
4 unit comprising of the Collingwood and Ark Royal wards.
5 Patients admitted to this ward are under the care of a
6 consultant in elderly mental health.

7

8 *Terminology*

9 CHI found considerable confusion, in written information and
10 in interviews with staff, around the terminology describing
11 the various levels of care for older people, for example CHI
12 heard of "stroke rehab, slow stream rehab, very slow stream
13 rehab, intermediate and continuing care". CHI was not aware
14 of any common criteria defining these areas in use at the
15 trust. CHI stakeholder work confirmed that this confusion
16 extends to patients and relatives in terms of their
17 expectations of the type of care which will be received.

18

Chapter 4 - Quality of Care and the Patient Experience

Introduction

The patient's experience is at the centre of all CHI's work. This chapter details CHI's findings following contact with patients and relatives which should be put into the context of the total number of 1725 finished consultant episode's (FCE's) for older patients admitted to the Gosport War Memorial Hospital between April 1998 and March 2001. Detail of the methodology used to gain an insight into the patient experience and of the issues raised with CHI are contained in Appendix B.

Patients clothing

Many relatives were distressed about patients who were not dressed in their own clothes, even when labelled clothes had been provided by families. The trust did apologise to families who had raised this as a complaint and explained the steps taken by wards to ensure patients were dressed in their own clothes.

Transfer arrangements between local hospitals

Concern was expressed regarding the physical transfer of patients from one hospital to another. This concern was acknowledged by PHCT who sought an apology from the referring hospital who did not have the appropriate equipment available.

Nutrition and fluids

Following comments by stakeholders, CHI reviewed trust policy for nutrition and fluids. The trust conducted an audit of minimum nutritional standards between October 1997 and March 1998, as part of the five year national strategy "Feeding People". The trust policy dated 2000 "Prevention and Management of Malnutrition" includes the designation of an appropriately trained lead person in each clinical area, who would organise training programmes for staff and improve documentation to ensure 100% compliance. The standards state:

- all patients must have a nutritional risk assessment on admission
- Registered nurses must plan, implement and oversee nutritional care and refer to an appropriate professional as necessary.

- 1 - All staff must ensure that documented evidence supports
2 the continuity of patient care and clinical practice.
- 3 - All clinical areas should have a nominated nutritional
4 representative who attends training/updates and is a
5 resource for colleagues.
- 6 - Systems should be in place to ensure that staff have
7 the required training to implement and monitor the
8 'Feeding People' standards.
9

10 A second trust audit in 2000, concluded that overall the
11 implementation of the Feeding People standards have been
12 "very encouraging". However, there were concerns about the
13 lack of documentation and a sense of complacency as locally
14 written protocols had not been produced universally
15 throughout the service.

16

17 *Ward environment*

18 All wards were built during the 1991 expansion of the
19 hospital and are modern, welcoming and bright
20

21

22 *Communication with patients and relatives*

23 Daedalus ward have a communication book by each bed for
24 patients and relatives to make comments about day to day
25 care. This is a two way communication process which, for
26 example, allows therapy staff to ask relatives for feedback
27 on progress and enables relatives to ask for an appointment
28 with the consultant.
29

28

29

1 Chapter 5 - Arrangements for the prescription,
2 administration, review and recording of medicines

3

4

5 *Medicine useage*

6 In order to determine the levels of prescribing at the trust
7 between 1998 and 2001, CHI requested a breakdown from the
8 trust of usage of diamorphine, haloperidol and midazolam for
9 Daedalus, Dryad and Sultan wards. Data was also requested
10 on the method of drug delivery. Some of the medicines used
11 in the care of older people can be delivered by a syringe
12 driver which delivers a continuous subcutaneous infusion
13 (under the skin). This information has been plotted against
14 the total number of admissions for the relevant year. The
15 data relates only to medicines issued from the pharmacy and
16 does not include any wastage, nor can it prove the amounts
17 of medicines actually administered. A detailed breakdown of
18 medicines for each ward is attached at appendix H.

19

20 The usage of three particular medicines demonstrated below
21 were highlighted by the experts commissioned by the police
22 as of concern.

23

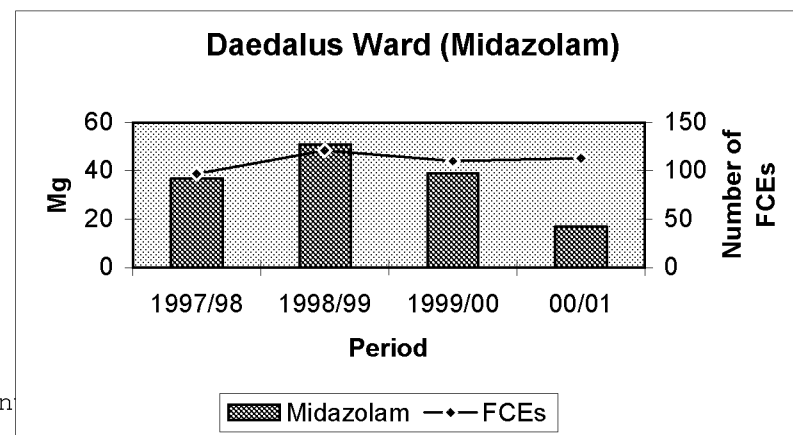
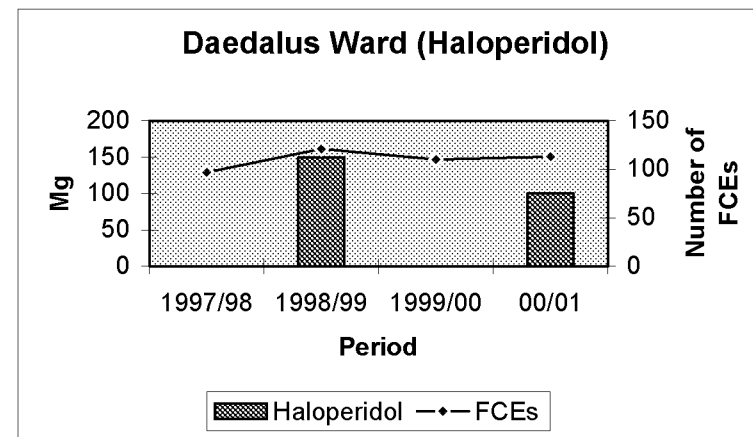
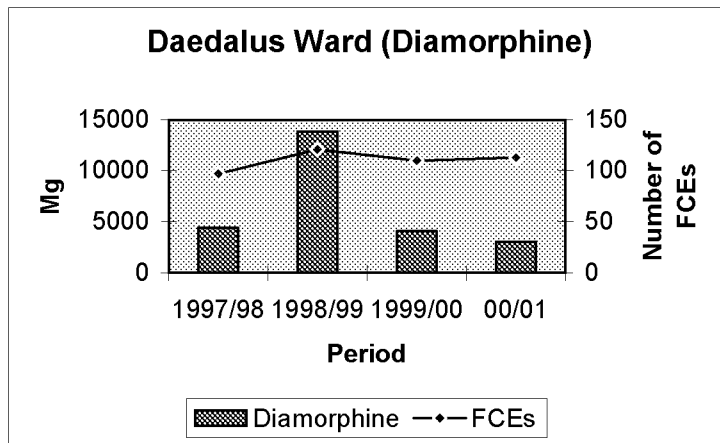
24 Please see graphs on separate page

25

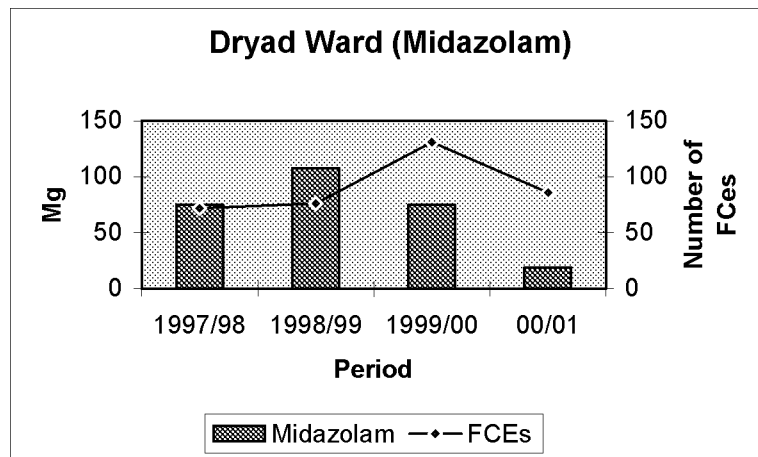
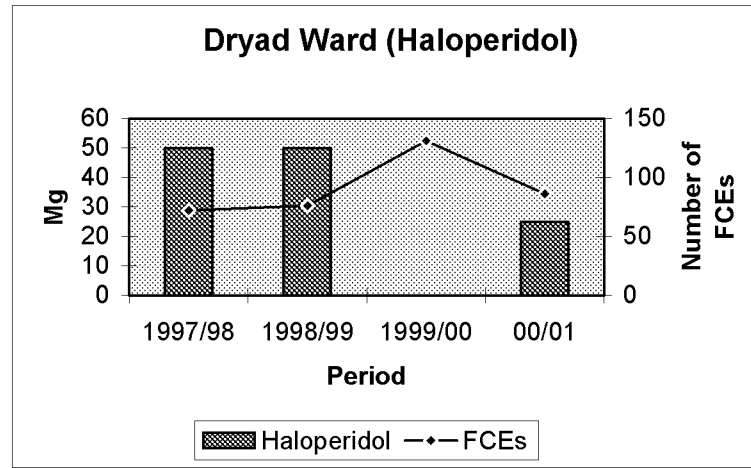
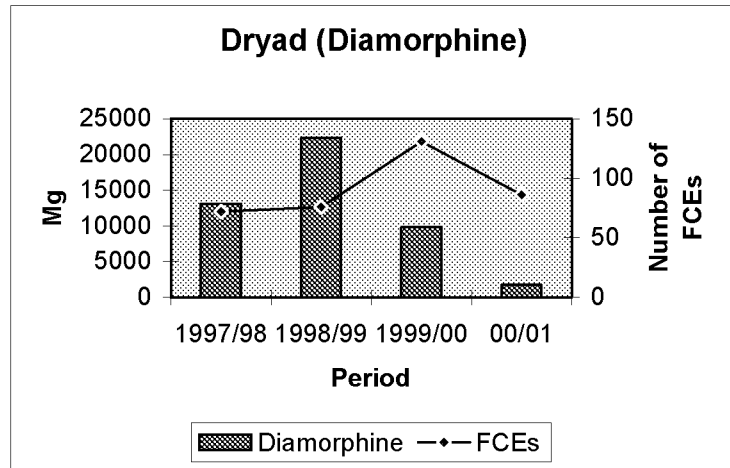
26

Graphs to show the usage of medicine 1997/98-2000/01 according to the number of FCE per ward.

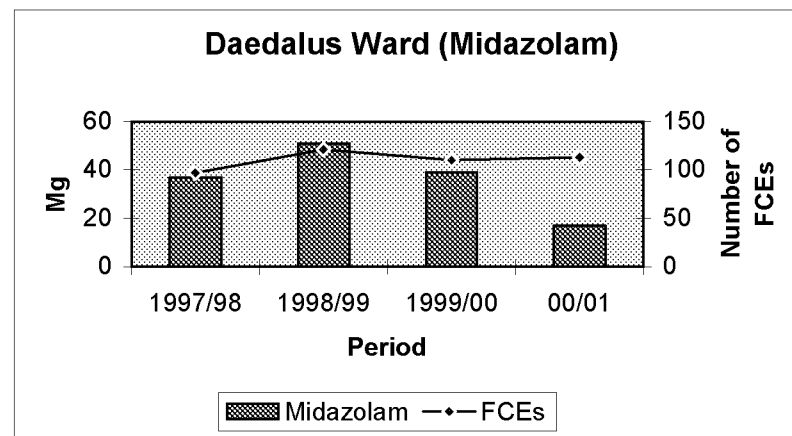
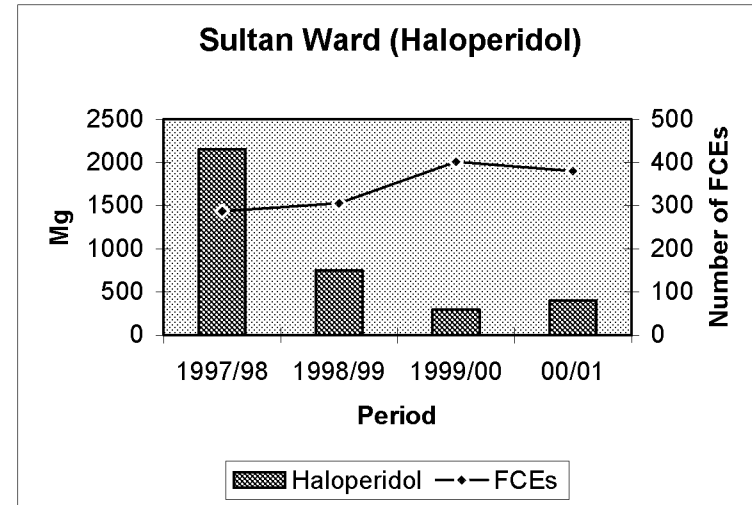
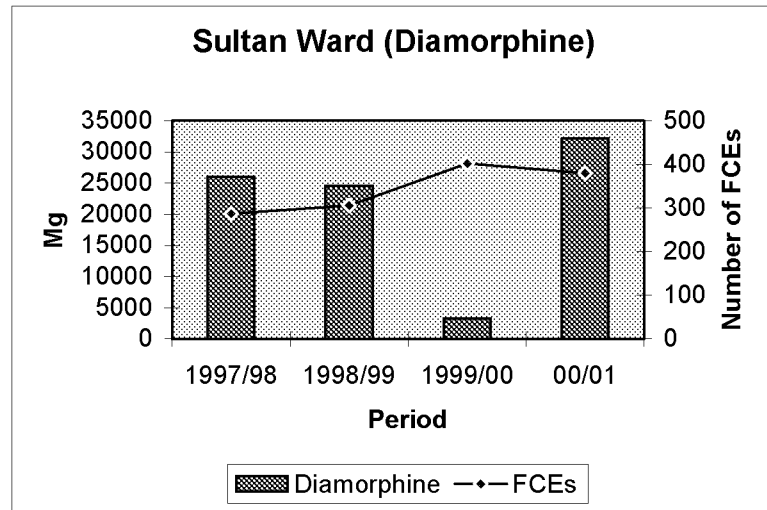
Graph 1. Daedalus



Graph 2 - Dryad



Graph 3. Sultan



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2

3 *Assessment and management of pain*

4 The Trust's policy for the assessment and management of pain
5 was introduced in April 2001 in collaboration with
6 Portsmouth Hospitals NHS Trust and is due for review in
7 2003. The stated purpose of the document was to identify
8 mechanisms to ensure that all patients have early and
9 effective management of pain or distress. The policy places
10 responsibility for ensuring that pain management standards
11 are implemented in every clinical setting and sets out the
12 following:

13

- 14 - The prescription must be written by medical staff
15 following diagnosis of type(s) of pain and be appropriate
16 given the current circumstances of the patient.
- 17 - If the prescription states that medication is to be
18 administered by continuous infusion (syringe driver) the
19 rationale for this decision must be clearly documented.
- 20 - All prescriptions for drugs administered via a syringe
21 driver must be written on a prescription sheet designed
22 for this purpose.

23

24 CHI has also seen evidence of a pain management cycle chart
25 and an "analgesic ladder". The "analgesic ladder" indicates
26 the drug doses for different levels and types of pain, how
27 to calculate opiate doses and advice on how to evaluate the
28 effects of analgesia and how to observe for any side
29 effects. Nurses interviewed by CHI demonstrated a good
30 understanding of pain assessment tools and the progression
31 up the analgesic ladder.

32

33 Many staff interviewed referred to the "Wessex" palliative
34 care guidelines (explained in paragraph??) which are in
35 general use on the wards. Though the section on pain
36 focuses on patients with cancer, there is a clear
37 highlighted statement on the opening page of the guidelines
38 which states that "All pains have a significant
39 psychological component, and fear, anxiety and depression
40 will all lower the pain threshold".

41

42 *Prescription writing policy*

43 This policy was produced jointly with the Portsmouth
44 Hospitals NHS Trust in March 1998. The policy covers the
45 purpose, scope, responsibilities, requirements for
46 prescription writing, medicines administered at nurses'
47 discretion and controlled drugs. A separate policy covers
48 the administration of IV medicines.

49

1 The policy also covers a section on verbal orders.
2 Telephone orders for single doses of medicines can be
3 accepted over the telephone by a registered nurse if the
4 doctor is unable to attend the ward.

5
6 *Administration of medication*

7 Medication can be administered in a number of ways, for
8 example, orally in tablet or liquid form, by injection and
9 under the skin via a syringe driver. Guidance for staff on
10 prescribing via syringe drivers is contained within the
11 Trust's policy for assessment and management of pain and
12 states that all prescriptions for continuous infusion must
13 be written on a prescription sheet designed for this
14 purpose.

15
16
17 Information provided by the Trust indicates that only two
18 qualified nurses from Sultan ward had taken part in a
19 syringe driver course in 1999. Five nurses had also
20 completed a drugs competencies course. No qualified nurses
21 from either Dryad or Daedalus ward had taken part in either
22 course between 1998 and 2001. Some nursing and healthcare
23 support staff spoke of receiving syringe driver information
24 and training from a local hospice.

25
26 *Review of medication*

27 In November 1999, a PHCT review of the use of neuroleptic
28 medicines, which includes tranquillisers such as
29 haloperidol, within all trust elderly care continuing care
30 wards concluded that neuroleptic medicines were not being
31 over prescribed. The same review revealed that "the weekly
32 medical review of medication was not necessarily recorded in
33 the medical notes". The findings of this audit and the
34 accompanying action plan, which included guidance on
35 completing the prescription chart correctly, was circulated
36 to all staff on Daedalus and Dryad wards, including part-
37 time staff and the clinical assistant. A copy was not sent
38 to Sultan ward. There was a re-audit in January 2000, when
39 it was concluded that ??? (*trust asked for copy*)

40
41 *Structure of pharmacy*

42 The PHCT have a service level agreement with the local acute
43 trust, Portsmouth Hospitals NHS Trust, for pharmacy
44 services. The contract is managed locally by a grade E
45 pharmacist and the service provided by a second pharmacist
46 who is the lead for older peoples services. Pharmacists
47 speaking to CHI spoke of a remote relationship between the
48 community hospitals and the main pharmacy department at
49 Queen Alexandra Hospital, together with an increasing

1 workload. Pharmacy staff were confident the pharmacist
2 would challenge large doses written up by junior doctors but
3 stressed the need for a computerised system which would
4 allow clinician specific records. There are some recent
5 plans to use the trust intranet to provide a "Compendium of
6 Drug therapy Guidelines, though CHI was told that the
7 intranet was not generally available.

8
9 CHI was not aware of any trust systems which could have
10 alerted the PHCT to any unusual or excessive patterns of
11 prescribing, though the data to do this would have been
12 available and was provided to CHI.

13
14

1
2 **Chapter 6 - Staffing Arrangements and Responsibility for**
3 **Patient Care**

4
5 *Responsibility for Patient Care*

6 Patient care at the Gosport War Memorial for the period of
7 the CHI investigation was provided by a consultant led team
8 on Daedalus and Dryad wards

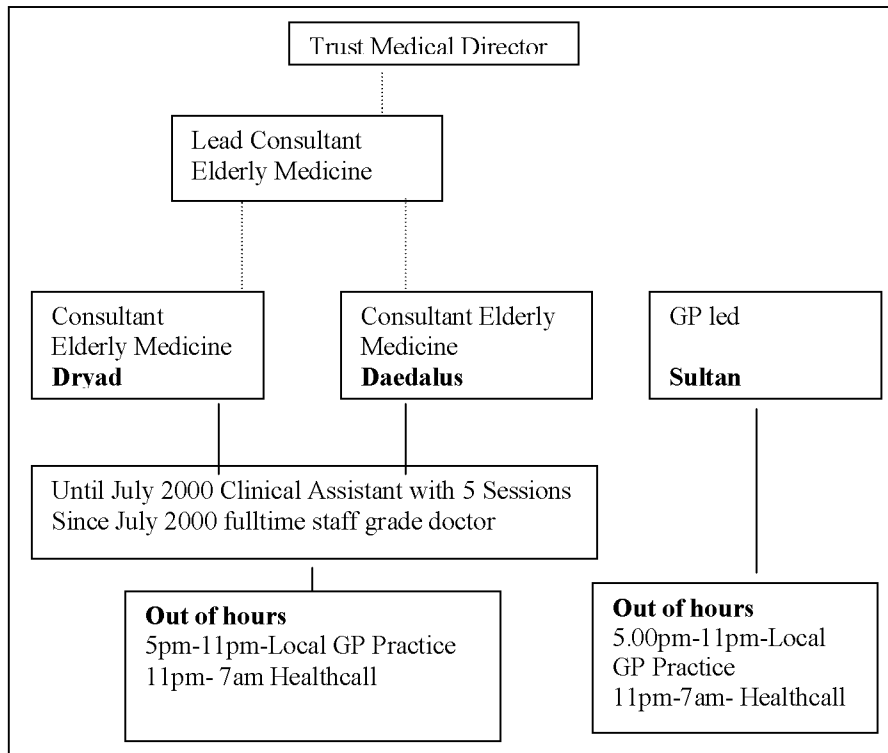
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10 *Medical Responsibility*

11 For the period covered by the CHI Investigation and
12 currently, medical responsibility for the care of older
13 people in Daedalus and Dryad wards lay with the named
14 consultant of each patient. All patients on both wards are
15 admitted under the care of a consultant. Since 1999, there
16 has been a lead consultant for Elderly Medicine who holds a
17 two session (one session equates to half a day per week)
18 contract for undertaking lead consultant responsibilities.
19 These responsibilities include overall management of the
20 department and the development of departmental objectives.
21 The lead clinician is not responsible for the clinical
22 practice of individual doctors. The post holder does not
23 undertake any sessions on the War Memorial site. The job
24 description for the post, outlines twelve functions and
25 states that the post is a major challenge for "a very part
26 time role".

27
28 In addition, since 2000 (*check with trust*) two elderly
29 medicine consultants provide 10 sessions in total of
30 consultant cover on Dryad and Daedalus wards. Since
31 September 2000, day to day medical support is provided by a
32 staff grade physician who is supervised by both consultants.
33 Before this, additional medical support was provided by a
34 Clinical Assistant until July 2000. Both consultants
35 undertake a weekly ward round with the staff grade doctor.
36 In 1998, there had been a fortnightly ward round on Daedalus
37 ward, CHI heard that ward rounds were less frequent than
38 this on Dryad ward.

39
40 CHI considers that the staff grade post is a pivotal,
41 potentially isolated post, due to the distance of the War
42 Memorial Hospital from the hub of the department of elderly
43 medicine based at Queen Alexandra Hospital and the
44 difficulty in attending departmental meetings. The trust
45 recognised this as an issue in 2001 in the document which
46 outlines action taken following complaints and patient based
47 incidents " A decision was taken not to employ a locum
48 consultant to cover the wards because of the risk of
49 professional isolation and support in Gosport".

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*
This line indicates managerial accountability and not clinical

37 *General Practice Role and Accountability*

38 Local GPs worked at the Gosport War Memorial Hospital in
39 three capacities during the period under investigation; as
40 clinical assistants, as the clinicians admitting and caring
41 for patients on the GP (Sultan) ward and as providers of out
42 of hours medical support on each of the three wards.

44 *Clinical Assistant Role*

45 Clinical assistants are GPs who are employed and paid by
46 trusts to provide, largely part time, medical support on
47 hospital wards. Clinical assistants have been a feature of
48 community hospitals within the NHS for a number of years.
49 PHCT employed a number of such GPs in this capacity in each

1 of their community hospitals. Clinical assistants work as
2 part of a consultant led team have the same responsibilities
3 as hospital doctors to prescribe medication, write in the
4 medical record and complete death certificates. Clinical
5 assistants should be accountable to a named consultant.
6

7 Between 1994 until the resignation of the post holder in
8 July 2000, a clinical assistant was employed for five
9 sessions at the Gosport War Memorial Hospital. The fees for
10 this post were in line with national rates. The job
11 description clearly states that the clinical assistant is
12 accountable to "named consultant physicians in geriatric
13 medicine". Cover for annual leave and any sickness absence
14 was the responsibility of the post holder to arrange with
15 practice partners, with whom the trust did not have a
16 contract for this purpose. The job description does state
17 that the post is subject to the Terms and Conditions of
18 Hospital Medical and Dental Staff, if identified, poor
19 performance could have been investigated through the trust's
20 disciplinary processes. Any concerns over the performance of
21 any clinical assistant could have been pursued through the
22 Trust disciplinary proceedings. CHI could find no evidence
23 to suggest that this option was explored.
24

25 CHI is not aware of any trust systems in place to monitor or
26 appraise the performance of the clinical assistant, this
27 lack of monitoring is still common practice within the NHS.
28 CHI could find no evidence of any system put in place by
29 consultants admitting patients to Dryad and Daedalus wards,
30 to whom the clinical assistant was accountable, to supervise
31 the practice of the clinical assistant. This includes any
32 review of prescribing. CHI could also find no evidence of
33 any formal lines of communication regarding policy
34 development, guidelines and workload. Staff interviewed
35 commented on the long working hours of the clinical
36 assistant, in excess of the five contracted sessions.
37

38 *Sultan Ward*

39 Medical responsibility for patients on Sultan ward lies with
40 the admitting GP. The trust issued admitting GPs with a
41 contract for working on trust premises, which clearly states
42 "you will take full clinical responsibility for the patients
43 under your care". CHI was told that GPs visit their patients
44 regularly and when requested by nursing staff. This is a
45 common arrangement in community hospitals throughout the
46 NHS. GPs have no medical accountability framework within the
47 trust.

1
2 GPs managing their own patients on Sultan ward could be
3 subject to the Health Authority's voluntary process for
4 dealing with doctors whose performance is giving cause for
5 concern. However, this procedure can only be used in regard
6 to their work as a GP, and not any contracted work performed
7 in the trust as a clinical assistant. Again, this
8 arrangement is common throughout the NHS.

9
10 *Out of Hours Cover Provided by GPs*

11 Between the hours of 9.00am and 5.00pm on weekdays, hospital
12 doctors employed by the trust manage the care of all
13 patients on Dryad and Deadalus wards. Out of hours medical
14 cover, including weekends and bank holidays is provided by a
15 local GP practice from 5.00pm to 11.00pm after which nursing
16 staff call on either the patients' practice or Healthcall, a
17 local deputising service for medical input between 11.00pm
18 and 7.00am. (*check 7am-9am gap with trust*) Some staff
19 interviewed by CHI on all wards expressed concern regarding
20 long waits for the Healthcall service. It was suggested that
21 waiting times for Healthcall to attend to a patient could
22 sometimes take between 3-5 hours. However, evidence
23 provided by Healthcall contradicts this. There is no trust
24 system to report long waits. The Healthcall contract is
25 managed by a local practice. (*check contract- CHI*)

26
27 In an urgent situation, out of hours, staff on all wards
28 call 999 for assistance.

29
30
31 *Appraisal of Hospital Medical Staff*

32 Since, April 2000, all NHS employers have been contractually
33 required to carry out annual appraisals, covering both
34 clinical and non-clinical aspects of their jobs.

35 All doctors interviewed by CHI, including the medical
36 director who works 5 sessions in the department of elderly
37 medicine, have regular appraisals. Those appraising the
38 work of other doctors have been trained to do so.

39
40 *Nursing Responsibility*

41 Ward nurses on each ward are managed by a G grade clinical
42 manager, who reports to a senior, H grade nurse. This nurse
43 covers the three wards caring for older people, and was
44 managed by the general manager for the Fareham and Gosport
45 division. The general manager reported to both the director
46 of nursing and the operations director. An accountability
47 structure such as this is not unusual in a community
48 hospital. The director of nursing was ultimately accountable
49 for the standard of nursing practice within the hospital.

1

2 *Nursing supervision*

3 The Trust has been working to adopt a model of clinical
4 supervision for nurses for a number of years and received
5 initial assistance from the Royal College of Nurses to
6 develop the processes. The Trust focus had been on
7 reflective practice, the overall aim being to ensure that
8 staff had access to good systems of clinical support to
9 enhance their practice. As part of the Trust's Clinical
10 Nursing Development Programme which ran between January 1999
11 and December 2000, nurses were identified to lead the
12 development of clinical supervision.

13

14 Many of the nurses interviewed valued the principles of
15 reflective practice as a way in which to improve their own
16 skills and care of patients. The H grade senior nurse
17 coordinator post appointed in November 2000 was a specific
18 trust response to an acknowledged lack of nursing leadership
19 at the Gosport War Memorial Hospital.

20

21 Regular ward meetings are held on Sultan and Daedalus wards,
22 with less clear arrangements on Dryad ward, which may be due
23 to long term senior ward staff sickness.

24

25 *Team working*

26 Caring for older people involves input from many
27 professionals who must coordinate their work around the
28 needs of the patient. Good teamwork provides the
29 cornerstone of high quality care for those with complex
30 needs. Staff interviewed by CHI spoke of teamwork, though
31 in several instances this was uniprofessional, for example a
32 nursing team. CHI observed a multi disciplinary team
33 meeting on Deadalus ward which was attended by a consultant,
34 a senior ward nurse, a physiotherapist, an occupational
35 therapist. No junior staff were present. Access to social
36 work input was described by hospital staff as good, though
37 not always available.

38

39 Arrangements for multi-disciplinary team meetings on Dryad
40 and Sultan wards are less well established. Occupational
41 therapy staff reported some progress towards multi-
42 disciplinary goal setting for patients though were hopeful
43 of more progress.

44

45 *Allied Health Professional Structures*

46 Allied Health Professionals (AHP's) are a group of staff
47 which include occupational therapists, dieticians, speech
48 and language therapists and physiotherapists. The
49 occupational therapy structure is in transition from a

1 traditional site based service to staff providing defined
2 clinical specialty (e.g. stroke rehabilitation) in the
3 locality. All referrals are received centrally. Staff
4 explained that this system enables the use of specialist
5 clinical skills and ensures continuity of care of patients,
6 as one occupational therapist follows the patient throughout
7 hospital admission(s) and at home. Occupational therapists
8 talking to CHI described a good supervision structure, with
9 supervision contracts and performance development plans in
10 place.

11 12 *Physiotherapy*

13 Physiotherapy services are based within the hospital. The
14 physiotherapy team sees patients from admission right
15 through to home treatment. Physiotherapists illustrated
16 good levels of training and supervision and involvement in
17 multi-disciplinary team meetings on Daedalus ward.

18 19 *Speech and Language Therapists*

20 Speech and language therapists also reported participation
21 in multi-disciplinary team meetings on Daedalus ward.

22 23 *Dietetics*

24 The staffing structure consist of one full time dietician
25 based at St James Hospital. Each ward has a nurse with lead
26 nutrition responsibilities to offer advice to colleagues on
27 request.

28 29 *Workforce and service planning*

30 In preparation for the change of use of beds in Dryad and
31 Daedalus wards in November 2000, from continuing care to
32 intermediate care, the Trust undertook an undated resource
33 requirement analysis and identified three risk issues;

34
35 (i) consultant cover

36 (ii) medical risk with change in client group and the
37 likelihood of more patients requiring specialist
38 intervention. The trust believed that the introduction
39 of automated defibrillators would go some way to
40 resolve this. The paper also spoke of "the need for
41 clear protocols...within which medical cover can be
42 obtained out of hours".

43 (iii) the trust identified a course for qualified
44 nursing staff, ALERT, a technique for quickly assessing
45 any changes in a patients condition in order to provide
46 an early warning of any deterioration.
47

1 Concerns were raised formally with the trust in early 2000
2 around the increased workload and complexity of patients,
3 which were acknowledged by the Medical Director, though CHI
4 found no evidence of a systematic attempt to review or seek
5 solutions to the evolving casemix.

6

7 *Access to specialist advice*

8 Older patients are admitted to Gosport War Memorial Hospital
9 with a wide variety of physical and mental health conditions
10 such as strokes, cancers and dementia. Staff demonstrated to
11 CHI good examples of systems in place to access expert
12 opinion and support. There are supportive links with
13 palliative care consultants, consultant psychiatrists and
14 oncologists. The lead consultant for elderly mental health
15 reported close links with the three wards, with patients
16 either given support on the ward or transfer to an elderly
17 mental health bed. There are plans for a nursing rotation
18 programme between the elderly medicine and elderly mental
19 health wards.

20

21 A joint palliative care booklet, published jointly in 1998
22 with PHCT, the Portsmouth Hospitals NHS Trust and a local
23 hospice which staff are aware of and use. The booklet
24 includes a number of guidelines on clinical management,
25 including symptom management, psychological and spiritual
26 care and bereavement.

27

28 *Staff welfare*

29 The trust developed, since its creation in 1994, an approach
30 of being a caring employer, demonstrated by support for
31 further education, flexible working hours and a ground
32 breaking domestic violence policy which has won national
33 recognition. The hospital was awarded Investors in People
34 status in 1998. Both trust management and staff side
35 representatives talking to CHI spoke of a constructive and
36 supportive relationship.

37

38 Trust managers told CHI of their encouragement of staff to
39 use the trust's counselling service and of organised support
40 sessions for staff.

41

1

2 **Chapter 7 - Lessons Learnt from Complaints**

3

4 A total of 129 complaints were made regarding the division
5 of elderly medicine since 1.4.97. These complaints include
6 care provided in other community hospitals as well as that
7 received on the acute wards of St Mary's and Queen Alexandra
8 Hospitals. In addition, CHI was told that over four hundred
9 letters of thanks had been received by the three wards at
10 the Gosport War Memorial Hospital during the same period.

11

12 Ten complaints were made surrounding the care and treatment
13 of patients on Dryad, Daedalus and Sultan wards between 1998
14 and 2002. A number raised concerns regarding the use of
15 medicines, especially the levels of sedation administered
16 prior to death, the use of syringe drivers and communication
17 with relatives. One recent complaint concerned admission
18 arrangements in Sultan ward. Three complaints in the last
19 five months of 1998 expressed concern regarding levels of
20 sedation. The clinical care, including a review of
21 prescription charts, of two of these three patients was
22 considered by the police expert witnesses. (findings
23 summarised on page ??)

24

25

26 *External review of complaints*

27 One complaint was referred to the Health Services
28 Commissioner (Ombudsman) in May 2000. The medical advisor
29 found that the choice of pain relieving drugs was
30 appropriate in terms of medicines, doses and administration.
31 A complaint in January 2000 was referred to an Independent
32 Review Panel (IRP), which found that drug doses, though
33 high, were appropriate, as was the clinical management of
34 the patient. Though the external assessment of these two
35 complaints revealed no serious clinical concerns, both the
36 Health Services Commissioner and the review panel commented
37 on the need for the trust to improve its communication with
38 relatives towards the end of a patient's life.

39

40

41 *Complaint Handling*

42 The trust has a policy for handling patient related
43 complaints produced in 1997, based on national guidance
44 "Complaints: Guidance on the Implementation of the NHS
45 Complaints Procedure" published in 1996. (evidence of a
46 review?) A leaflet for patients detailing the various stages
47 of the complaints procedure was produced, this includes the
48 right to request an Independent Review if matters are not
49 resolved to their satisfaction together with the address of

1 the Health Service Commissioner. This leaflet was not
2 freely available on the wards.

3

4

5 CHI found that letters to complainants in response to their
6 complaints did not always include an explanation of the IRP
7 process, though this is outlined in the leaflet mentioned
8 above, which is sent to complainants earlier in the process.
9 Audit standards for complaints handling are good with at
10 least 80% of complainants satisfied with complaint handling
11 and 100% of complainants resolved within national
12 performance targets. (CHI check date) All written complaints
13 were responded to by the Chief Executive. Staff interviewed
14 by CHI valued the Chief Executive's personal involvement in
15 complaint resolution and correspondence. Letters to patients
16 and relatives sent by the trust reviewed by CHI were
17 thorough and sensitive. The trust adopted an open response
18 to complaints and apologised for any shortcomings in its
19 services.

20

21 Once the police became involved in the initial complaint in
22 1998, the trust ceased internal investigation processes.
23 CHI found no evidence in either public or private board
24 agendas that the trust board were formally made aware of
25 police involvement. The doctor involved in the care of this
26 patient wrote to the trust's quality manager expressing
27 concern that she discovered by chance three months later
28 that a complaint had been made. Neither that doctor nor
29 portering staff involved in the transfer of the patient were
30 asked for statements during the initial trust investigation.

31

32 *Trust Learning Regarding Prescribing*

33 Action was however taken to develop and improve trust
34 policies around prescribing and pain management (as detailed
35 in chapter??). In addition, CHI learnt that external
36 clinical advice sought by PHCT in September 1999, during the
37 course of a complaint resolution, suggested that the
38 prescribing of diamorphine with dose ranges from 20mgs to
39 200mgs a day was poor practice and "could indeed lead to a
40 serious problem". The comment was made that the patient had
41 been given doses ranging from 20mg to 40mg per day.

42

43 PHCT correspondence states that there was an agreed protocol
44 for the prescription of diamorphine for a syringe driver
45 with doses ranging between 20mg and 200mgs a day. CHI
46 understands this to be the "Wessex guidelines". Further
47 correspondence in October 1999, indicated that a doctor
48 working on the wards asked for a trust position policy on
49 the prescribing of opiates in community hospitals. This was

1 not addressed until April 2001, when the joint PHCT and
2 Portsmouth Hospitals NHS Trust policy for the assessment and
3 management of pain was introduced.

4
5 *Other Trust Lessons*

6 Lessons around issues other than prescribing have been
7 learnt by the trust, though the workshop to draw together
8 this learning was not held until early 2001 when the themes
9 discussed were; communication with relatives, staff
10 attitudes and fluids and nutrition. Action taken by the
11 trust since the series of complaints in 1998 are as follows:

- 12
13 - An increase in the frequency of consultant ward rounds
14 on Daedalus ward, from fortnightly to weekly from
15 February 1999.
16 - The appointment of a staff grade doctor in September
17 2000 to increase medical cover following the
18 resignation of the clinical assistant.
19 - Piloting of pain management charts and prescribing
20 guidance approved in May 2001. Nursing documentation
21 is currently under review, with nurse input.
22 - One additional consultant session in ?? following a
23 district wide initiative with local PCGs around
24 intermediate care.
25 - Nursing documentation now clearly identifies prime
26 family contacts and next-of-kin information to ensure
27 appropriate communication with relatives.
28 All conversations with families are now documented in
29 the medical record. CHIs review of recent anonymised
30 case notes demonstrated frequent and clear
31 communication between relatives and clinical staff.

32
33
34 *Monitoring and Trend Identification*

35 A key action identified in the 2000/01 Clinical Governance
36 Action Plan was a strengthening of trust systems to ensure
37 that actions following complaints have occurred. The
38 Trust's Quality Manager played a key role in this. Until
39 the dissolution of PHCT, actions were monitored through the
40 divisional review process and the Clinical Governance Panel
41 and Trust Board. A Trust database was introduced in 1999 to
42 record and track trends in recent complaints. An
43 investigations officer was also appointed in order to
44 improve fact finding behind complaints. This has improved
45 the quality of complaint responses.

46
47 The PHCT offered specific training in complaints handling,
48 customer care and loss, death and bereavement, which many,

1 though not all, staff interviewed by CHI were aware of and
2 had attended.

3
4
5
6
7
8
9

1 **Chapter 8 - Communication**

2

3 This chapter considers how the trust communicated with and
4 established relationships with its patients and relatives,
5 its staff and the wider NHS.

6

7 *Patients, Relatives and Carers*

8 The trust has an undated "User Involvement in Service
9 Development Framework", which sets out the principles behind
10 effective user involvement within the national policy
11 framework. It is unclear from the framework who was
12 responsible for taking the work forward and within what
13 timeframe. Given the dissolution of the Trust, a decision
14 was taken not to establish a trust wide Patient Advocacy and
15 Liaison Service (PALS), a requirement of the NHS National
16 Plan. However, work was started by the trust to look at a
17 possible future PALS structure for the PCT.

18

19

20 The trust uses patient surveys as part of its patient
21 involvement framework. This was also one of the action
22 points arising from a complaints workshop in February 2001.
23 Surveys are given to patients on discharge, the response
24 rate was not collected. Issues raised by patients in
25 completed surveys are addressed by action plans discussed at
26 clinical managers meetings. Ward specific action plans are
27 distributed to ward staff. CHI noted, for example, that as
28 a result of patient comments regarding unacceptable ward
29 temperatures, thermometers were purchased by the ward to
30 address the problem. CHI could find no evidence to suggest
31 that the findings from patient surveys are shared across the
32 trust.

33

34 *Communication Towards the End of Life*

35 Staff spoke of the "Wessex" palliative care guidelines in
36 use on the wards which talks about breaking bad news and
37 communicating with the bereaved. Many clinical staff, at all
38 levels spoke of the difficulty in managing patient and
39 relative expectations following discharge from the acute
40 sector. "They often painted a rosier picture than
41 justified". Staff spoke of the closure of the Royal Haslar
42 acute beds leading to increased pressure at Portsmouth
43 Hospitals NHS Trust hospital, Queen Alexandra and St Mary's
44 Hospitals to discharge patients too quickly to the Gosport
45 War Memorial Hospital. Staff were aware of more medically
46 unstable patients being transferred in recent years.

1

2 *Staff Communication*

3 Most staff interviewed by CHI spoke of good internal
4 communications, and were well informed about the transfer of
5 services to PCTs. The trust used newsletters to inform
6 staff of key developments. An intranet is being developed
7 by the Fareham and Gosport PCT to facilitate communication
8 with staff.

9

10

1

2 **Chapter 9 - Clinical Governance**

3

4 *Introduction*

5 Clinical governance is about making sure that health
6 services have systems in place to provide patients with high
7 standards of care. The Department of Health document *A First*
8 *Class Service* defines clinical governance as "a framework
9 through which NHS organisations are accountable for
10 continuously improving the quality of their services and
11 safeguarding high standards of care by creating an
12 environment in which excellence in clinical care will
13 flourish."

14

15 CHI has not conducted a clinical governance review of the
16 Portsmouth Healthcare NHS Trust but has looked at how trust
17 clinical governance systems support the delivery of
18 continuing and rehabilitative inpatient care for older
19 people at the Gosport War Memorial Hospital. This chapter
20 sets out the framework and structure adopted by the trust
21 between 1998 and 2002 to deliver the clinical governance
22 agenda and details those areas most relevant to the terms of
23 reference for this investigation; risk management including
24 medicines management and the systems in place to enable
25 staff to raise concerns.

26

27 *Summary*

28 The trust reacted swiftly to the principles of clinical
29 governance outlined by the Department of Health in NHS a
30 First Class Service by devising an appropriate framework.
31 In September 1998 a paper outlining how the trust planned to
32 develop a system for clinical governance was shared widely
33 across the trust and aimed to include as many staff as
34 possible. Most staff interviewed by CHI were aware of the
35 principles of clinical governance and were able to
36 demonstrate how it related to them in their individual
37 roles. Understanding of some specific aspects, particularly
38 risk management and audit was patchy.

39

40 *Clinical Governance Structures*

41 The Medical Director took lead responsibility for clinical
42 governance and chaired the Clinical Governance Panel, a sub
43 committee of the Trust Board. The Clinical Governance Panel
44 was supported by a Clinical Governance Reference Group,
45 whose membership included representatives from each clinical
46 service, professional group, non-executive directors and the
47 chair of the Community Health Council. Each clinical service
48 also had its own Clinical Governance Committee. This
49 structure had been designed to enable each service to take

1 clinical governance forward into whichever PCT it found
2 itself in after April 2002. The trust used the divisional
3 review process to monitor clinical governance developments.

4
5 District Audit carried out an audit of the trust's clinical
6 governance arrangements in 1998/99. The report, dated
7 December 1999, states that the Trust had fully complied with
8 requirements to establish a framework for clinical
9 governance. The report also referred to the Trust's
10 document "Improving Quality - steps towards a First Class
11 Service" which was described as "of a high standard and
12 reflected a sound understanding of clinical governance and
13 quality assurance".

14
15 Whilst commenting favourably on the framework, the District
16 Audit Review also noted the following:

- 17
18 - The process for gathering user views should be more
19 focussed and the process strengthened.
20
21 - The clinical governance loop needed to be closed in
22 some areas to ensure that strategy, policy and
23 procedure resulted in changed/improved practice.
24 Published protocols were not always implemented by
25 staff; results of clinical audit were not always
26 implemented and re-audited; lessons learnt from
27 complaints and incidents not always used to change
28 practice and that R&D did not always lead to change in
29 practice.
30
31 - More work needed to be done with clinical staff on
32 openness and the support of staff alerting senior
33 management of poor performance.
34

35 Following the review, the trust drew up a trust-wide action
36 plan in December 1999 which focussed on widening the
37 involvement and feedback from nursing, clinical and support
38 staff regarding Trust protocols and procedures, and on
39 making greater use of R&D, clinical audit, complaints,
40 incidents and user views to lead to changes in practice.
41 *Outcome of this to be inserted ask trust?*
42

43 In addition, each service has its own Clinical Governance
44 Committee led by a designated clinician, including wide
45 clinical and professional representation. Baseline
46 assessments have been carried out in each specialty and
47 responsive action plans produced. The quarterly Divisional
48 Review system was modified to include reporting on clinical
49 governance in February 2000. The Medical Director and
Gosport War Memorial Hospital Investigation

1 Clinical Governance Manager attended Divisional Review
2 meetings and reported key issues back to the Clinical
3 Governance Panel.

4
5 *Risk management*

6 A Risk Management group was established by the Trust in ??
7 to develop and oversee the implementation of the trust's
8 Risk Management strategy, to provide a forum in which risks
9 could be evaluated and prioritised and to monitor the
10 effectiveness of actions taken to manage risks. The Group
11 has links with other Trust groups such as the Clinical and
12 Service Audit Group, the Board and the Clinical Nursing
13 Governance Committee. Originally the Finance Director had
14 joint responsibility for strategic risk with the Quality
15 Manager. This was changed in the 2000/03 strategy to
16 include the Medical Director, who is the designated lead for
17 clinical risk. The Trust achieved the Clinical Negligence
18 Scheme for Trusts (CNST) level 1 in 1999, a decision was
19 taken by the Trust, due to pending dissolution in 2002, not
20 to pursue the level 2 standard.

21
22 The Trust had an operational policy for "Recording and
23 Reviewing Risk Events" introduced in 1994. New reporting
24 forms were introduced in April 2000 following a review of
25 the assessment systems for clinical and non-clinical risk.
26 The same trust policy is used to report clinical, non-
27 clinical and accidents. All events are recorded in the
28 Trust's Risk Event Database (CAREKEY). The procedure states
29 that this reporting system should also be used for near
30 misses and medication errors.

31 Nursing and support staff interviewed demonstrated a good
32 knowledge of the risk reporting system, though CHI was less
33 confident that medical staff regularly identified and
34 reported risks. CHI was told that risk forms were regularly
35 completed by wards in the event of staff shortages. This is
36 not one of the trust's Risk Event Definitions.

37
38 The Clinical Governance Development Plan for 2001/02 states
39 that the focus for risk management in 2000/01 was the safe
40 transfer of services to successor organisations, with the
41 active involvement of PCTs and PCGs in the Trust's Risk
42 Management Group. Meetings have been held with each
43 successor organisation to agree future arrangements for such
44 areas as; risk event reporting, health and safety, infection
45 control and medicines management.

46
47 *Raising concerns*

48 The Trust has a Whistleblowing policy dated February 2001.
49 The Public Interest Disclosure Act became law in July 1999.

1 The policy sets out the process staff should follow if they
2 wish to raise a concern about the care or safety of a
3 patient in the event of other procedures having failed or
4 being exhausted. NHS guidance requires systems to enable
5 concerns to be raised outside of the usual management chain.
6 The trust policy informs staff that they can use the
7 Whistleblowing process when staff have concerns "that cannot
8 be resolved be resolved by the appropriate procedure".
9

10 Most staff interviewed were clear about how to raise
11 concerns within their own line management structure and were
12 largely confident of receiving support and an appropriate
13 response. There was less certainty around the existence of
14 the Trust's Whistleblowing Policy.
15

16 *Clinical Audit*

17 CHI heard of no demonstrable examples during interviews with
18 staff of positive changes in patient care as a result of
19 clinical audit outcomes. Despite a great deal of work on
20 revising and creating policies to support good prescribing,
21 there has been no planned audit of outcome.
22

23 *Need to include outcome of trust recent prescribing audit*
24 *here when received.*
25
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40 APPENDIX C

41
42
43 Portsmouth Healthcare Trust staff and non-executive
44 directors interviewed by CHI

45
46 CHI is grateful to Caroline Harrington for scheduling interviews.
47

- 1
- 2 ▪ Baldacchino, Linda, Health Care Social Worker
- 3 ▪ Banks, Dr Vicki, Lead Consultant
- 4 ▪ Barker, Debbie, Staff Nurse
- 5 ▪ Barker, Marilyn, Enrolled Nurse
- 6 ▪ Barrett, Lynn, Staff Nurse
- 7 ▪ Beed, Phillip, Clinical Manager
- 8 ▪ Brind, Shelly, Occupational Therapist
- 9 ▪ Cameron, Fiona, General Manager
- 10 ▪ Carroll, Patrick, Occupational Therapist
- 11 ▪ Clasby, Jerry, Senior Nurse
- 12 ▪ Crane, Rosemary, Senior Dietician
- 13 ▪ Day, Ginny, Senior Staff Nurse
- 14 ▪ Douglas, Tina, Staff Nurse
- 15 ▪ Dunleavy, Jo, Staff Nurse
- 16 ▪ Dunleavy, Shirley, Physiotherapist
- 17 ▪ Goode, Pauline, Health Care Social Worker
- 18 ▪ Hair, James, Chaplain
- 19 ▪ Hallman, Shirley, Staff Nurse (until..... trust please fill in)
- 20 ▪ Hamblin, Gill, Senior Staff Nurse
- 21 ▪ Haste, Anne, Clinical Manager
- 22 ▪ Hooper, Bill, Project Director
- 23 ▪ Humphrey, Lesley, Quality Manager
- 24 ▪ Jarrett, Dr David, Lead Consultant
- 25 ▪ Joice, Chris, Staff Nurse (until..... trust please fill in)
- 26 ▪ Jones, Julie, Corporate Risk Advisor
- 27 ▪ Jones, Teresa, Ward Clerk
- 28 ▪ King, Peter, Personnel Director
- 29 ▪ King, Steve, Clinical Risk Advisor
- 30 ▪ Landy, Sharone, Senior Staff Nurse
- 31 ▪ Langdale, Helen, Health Care Social Worker
- 32 ▪ Law, Diane, Patient Affairs Manager
- 33 ▪ Lawrence, Vanessa, Ward Pharmacist
- 34 ▪ Lee, David, Complaints Convenor & Non Executive Director
- 35 ▪ Lock, Joan, Sister (retired 1999)
- 36 ▪ Loney, Mick, Porter
- 37 ▪ Lord, Dr Althea, Lead Consultant
- 38 ▪ Mann, Katie, Senior Staff Nurse

- 1 ▪ Melrose, Barbara, Complaints
- 2 ▪ Millett, Max, Chief Executive
- 3 ▪ Monk, Anne, Chairman
- 4 ▪ Nelson, Sue, (until..... trust please fill in)
- 5 ▪ Neville, J, Staff Nurse (until..... trust please fill in)
- 6 ▪ O'Dell, Jo, Practice Development Facilitator
- 7 ▪ Parvin, Jane, Senior Personnel Manager
- 8 ▪ Peach, Jan, Service Manager
- 9 ▪ Peagram, Lin, Physio Assistant
- 10 ▪ Pease, Yong, Staff Nurse
- 11 ▪ Phillips, Catherine, Speech & Language Therapist
- 12 ▪ Piper, Ian, Operational Director
- 13 ▪ Qureshi, Dr, Consultant
- 14 ▪ Ravindrance, Dr, Consultant
- 15 ▪ Reid, Ian, Medical Director
- 16 ▪ Robinson, Barbara, Deputy General Manager
- 17 ▪ Scammel, Toni, Senior Nurse Coordinator
- 18 ▪ Taylor, Jo, Senior Nurse
- 19 ▪ Thomas, Eileen, Nursing Director
- 20 ▪ Thorpe, Maria, Health Care Social Worker
- 21 ▪ Tubbitt, Anita, Senior Staff Nurse
- 22 ▪ Walker, Fiona, Senior Staff Nurse
- 23 ▪ Wells, Penny, District Nurse
- 24 ▪ Wigfall, Margaret, Enrolled Nurse
- 25 ▪ Wilkins, Pat, Senior Staff Nurse
- 26 ▪ Williams, Jane, Nurse Consultant
- 27 ▪ Wilson, Angela, Senior Staff Nurse
- 28 ▪ Wood, Andy, Finance Director
- 29 ▪ Woods, Linda, Staff Nurse
- 30 ▪ Yikona, Dr, Staff Grade Physician

31

32

33 **APPENDIX E**

34

35

36 **Medical case note review**

37

38

1 **Terms of Reference for the Medical Notes Review Group to**
 2 **Support the CHI Investigation at Gosport War Memorial**
 3 **Hospital**

4
 5 **Purpose**

6 The Group has been established to review the clinical notes
 7 of a random selection of recently deceased older patients at
 8 the Gosport War Memorial Hospital in order to inform the CHI
 9 investigation. With reference to CHI's investigation terms
 10 of reference and the expert witness reports prepared for the
 11 police by Dr Munday and Professor Ford, this review will
 12 address the following:

- 13
 14 (i) The prescription, administration, review and
 15 recording of drugs.
 16 (ii) The use and application of the Trust's policies
 17 on the Assessment & Management of Pain,
 18 Prescription Writing and Administration of IV
 19 Drugs.
 20 (iii) The quality of nursing care towards the end
 21 of life.
 22 (iv) The recorded cause of death.

23
 24 **Method**

25 The Group will review 15 anonymised clinical notes supplied
 26 by the Trust, followed by a one day meeting at CHI in order
 27 to produce a written report to inform the CHI investigation.
 28 The Group will reach its conclusions by March 31st 2002 at
 29 the latest.

30
 31 *Membership*

32 Dr Tony Luxton, Geriatrician - Lifespan NHS Trust (CHI
 33 doctor team member & chair of Group)
 34 Maureen Morgan, Independent Management Consultant (CHI nurse
 35 member)
 36 Professor Gary Ford, Professor of Pharmacology of Old Age,
 37 University of Newcastle and Freeman Hospital
 38 Dr Keith Munday, Consultant Geriatrician, Frimley Park
 39 Hospital
 40 Annette Goulden, Deputy Director Of Nursing, Trent Regional
 41 Office and formerly Department of Health Nursing Officer for
 42 elderly care

43
 44 Dr Luxton and Maureen Morgan have been seconded to CHI for
 45 their work with CHI on this investigation, similar
 46 arrangements will apply to Professor Ford, Dr Munday Annette
 47 Goulden with regard to expenses, confidentiality etc. The
 48 Group will be supported by Julie Miller CHI Investigation

- 1 Manager, who will produce a report based on the Group's
- 2 work.

Findings of Group

The findings of the Group will be shared with:

- (i) the CHI Gosport investigation team
- (ii) CHI's Nurse Director and Medical Director and other CHI staff as appropriate
- (iii) The Trust
- (iv) Relatives of the deceased (facilitated by the Trust) if requested, on an individual basis

The Group's findings will not be published in full in the investigation report, though a summary will be included. The final report of the Group will be subject to the usual rules of disclosure applying to CHI investigation reports.

APPENDIX F**An explanation of the dissolution of services into the new Primary Care Trusts.****Arrangements for hosting clinical services**

	Portsmouth City PCT	East Hampshire PCT	Fareham & Gosport PCT	West Hampshire NHS TRust
Elderly medicine		●		
Elderly mental health		●		
Community paediatrics	●			
Adult mental health services	● For Portsmouth City Patients			● For Hampshire Patients
Learning disability services			●	
Substance misuse	●			
Clinical psychology	●			●
Primary care counselling	●			
Specialist family planning	●			
Palliative care		●		

(Local Health, Local Decisions, Consultant Document September 2001, South East Regional Office of the NHS Executive: Isle of Wight, Portsmouth and South East Hampshire Health Authority and Southampton and South West Health Authority)

APPENDIX G

Table illustrating the Throughput in the Gosport War Memorial Hospital wards Daedalus, Dryad and Sultan.

Table . Throughput data 1997/98 - 2000/01

Financial Year	Ward	FCEs
1997/98	Daedalus	97
1997/98	Dryad	72
1997/98	Sultan	287
	<u>GWMH</u>	456
1998/99	Daedalus	121
1998/99	Dryad	76
1998/99	Sultan	306
	<u>GWMH</u>	503
1999/00	Daedalus	110
1999/00	Dryad	131
1999/00	Sultan	402
	<u>GWMH</u>	643
2000/01	Daedalus	113
2000/01	Dryad	86
2000/01	Sultan	380
	<u>GWMH</u>	579

* Daedalus and Daedalus Stroke have been added together.

APPENDIX H**Breakdown of Medication in Daedalus, Dryad and Sultan wards at the Gosport War Memorial Hospital.**

Summary of Medicine Useage 1997/98-2000/01 (Mar 2002)

Drug	Ward	Dose	Pack	97/98	98/99	99/00	00/01
Diamorphine Injection	Daedauls	5mg	5	0	5	0	3
	Dryad	5mg	5	0	0	0	6
	Sultan	5mg	5	6	5	0	10
	Total	5mg	5	6	10	0	19

Diamorphine Syringe	Sultan	5mg	1	0	10	0	0
	Total	5mg	1	0	10	0	0

Diamorphine Injection	Daedalus	10mg	5	21	34	27	19
	Dryad	10mg	5	40	57	56	20
	Sultan	10mg	5	87	38	24	35
	Total	10mg	5	128	127	107	74

Diamorphine Syringe	Dryad	10mg	1	0	17	0	0
	Sultan	10mg	1	0	20	0	0
	Total	10mg	1	0	37	0	0

Diamorphine Injection	Daedalus	30mg	5	16	27	15	7
	Dryad	30mg	5	34	51	40	4
	Sultan	30mg	5	67	43	14	31
	Total	30mg	5	117	121	69	42

Diamorphine Syringe	Dryad	30mg	1	0	5	0	0
	Total	30mg	1	0	5	0	0

Commission for Health Improvement

Factual Accuracy Draft

27/08/15

Drug	Ward	Dose	Pack	97/98	98/99	99/00	00/01
Diamorphine Injection	Daedalus	100mg	5	2	11	1	2
	Dryad	100mg	5	12	13	2	0
	Sultan	100mg	5	20	27	0	31
	Total	100mg	5	34	51	3	0

Diamorphine Injection	Daedalus	500mg	5	0	1	0	0
	Dryad	500mg	5	0	2	0	4
	Sultan	500mg	5	1	1	0	4
	Total	500mg	5	1	4	0	0

Haloperidol Injection	Daedalus	5mg/5ml	10	0	3	0	0
	Dryad	5mg/5ml	10	1	1	0	0
	Sultan	5mg/5ml	10	43	15	6	0
	Total	5mg/5ml	10	44	19	6	0

Drug	Ward	Dose	Pack	97/98	98/99	99/00	00/01
Haloperidol Injection	Daedalus	5mg/5ml	5	0	0	0	4
	Dryad	5mg/5ml	5	0	0	0	1
	Sultan	5mg/5ml	5	0	0	0	16
	Total	5mg/5ml	5	0	0	0	21

Midazolam	Daedalus	10mg/2ml	10	37	51	39	17
	Dryad	10mg/2ml	10	75	108	75	19
	Sultan	10mg/2ml	10	21	9	2	11
	Total	10mg/2ml	10	133	168	116	47

(Summary of Medicine Usage 1997/98-2000/01 (Mar 2002), Portsmouth Hospitals Trust , Pharmacy Service)